

# Formats de fichiers audiovisuels

Reto Kromer • AV Preservation by reto.ch

Atelier Memoriav  
**Logiciels ouverts dans l'archive**  
Berne, 11 janvier 2024

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# Table des matières

- audio numérique et vidéo numérique
- conteneur, codec, raw data
- différents formats pour différentes utilisations
- transformation de fichiers audiovisuels

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# Audio numérique

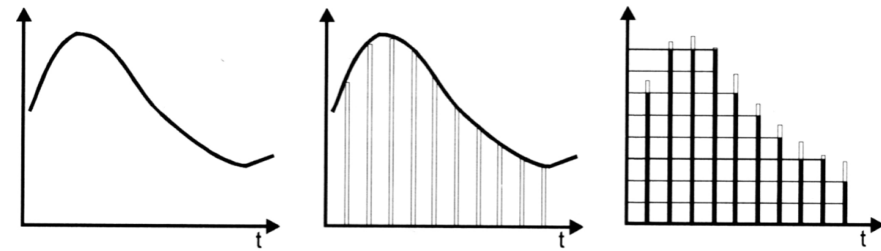
- échantillonnage
- quantification
- compression

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## Échantillonnage

- 44.1 kHz
- 48 kHz
- 96 kHz
- 192 kHz
- 500 kHz



numérisation = échantillonnage + quantification

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## Quantification

- 16 bit ( $2^{16} = 65\,536$ )
- 24 bit ( $2^{24} = 16\,777\,216$ )
- 32 bit ( $2^{32} = 4\,294\,967\,296$ )

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# Vidéo numérique

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## Vidéo numérique

- définition
- profondeur de couleurs
- linéaire, exponentielle, logarithmique
- espace colorimétrique
- compression et sous-échantillonnage
- illuminant

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## Définition

- SD 480i / SD 576i
- HD 720p / HD 1080i
- 2K / HD 1080p
- 4K / UHD-1
- 8K / UHD-2

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## Profondeur de couleurs

- 8 bit ( $2^8 = 256$ )
- 10 bit ( $2^{10} = 1\ 024$ )
- 12 bit ( $2^{12} = 4\ 096$ )
- 16 bit ( $2^{16} = 65\ 536$ )
- 24 bit ( $2^{24} = 16\ 777\ 216$ )

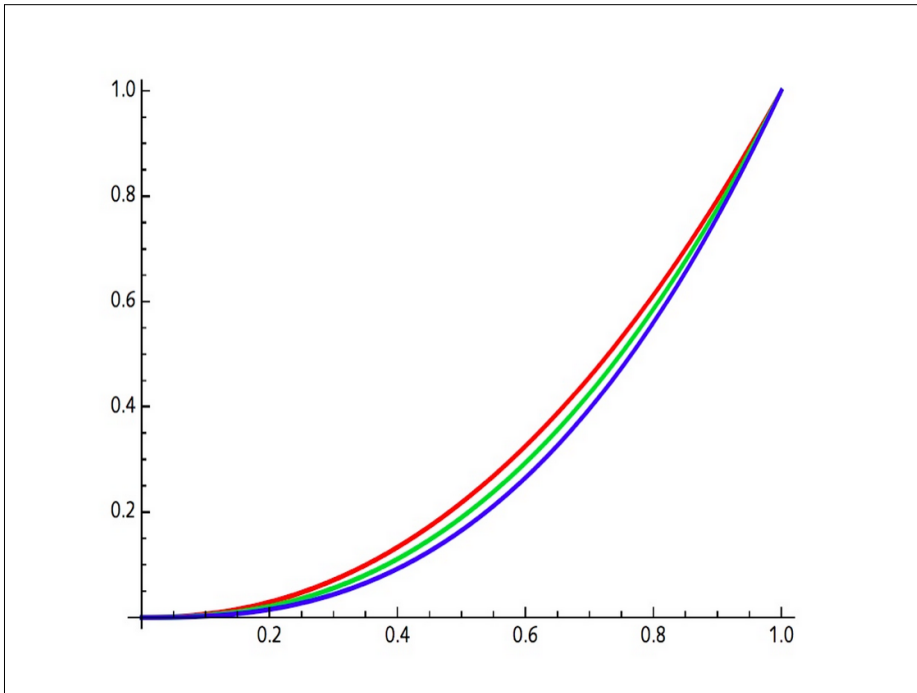
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## Linéaire, exponentielle, logarithmique

«gris moyen»

- fonction linéaire: environ 18%
- fonction exponentielle: 50%
- fonction logarithmique: 50%

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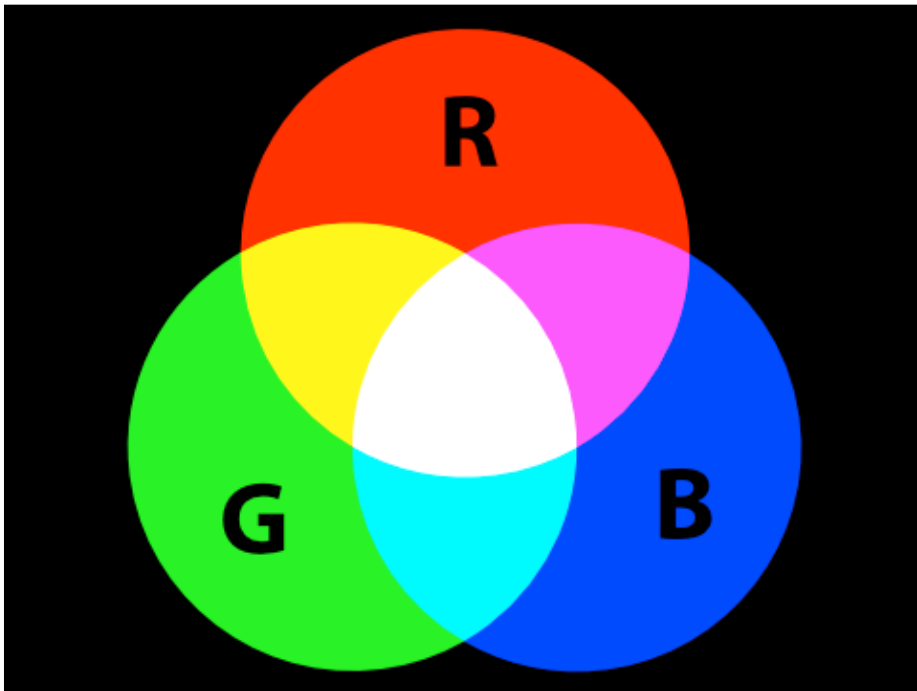


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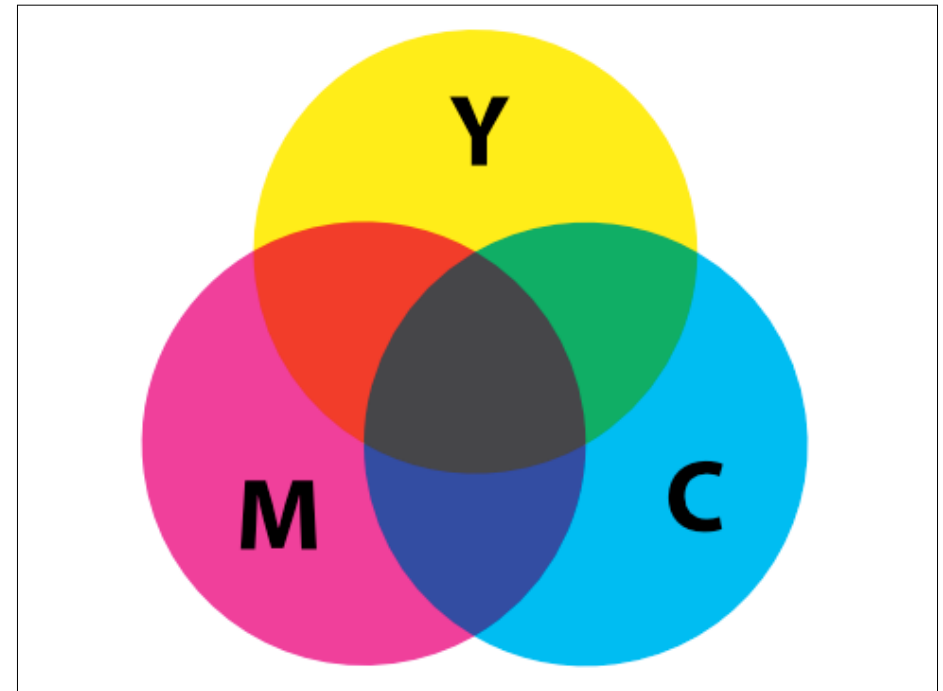
## Espace colorimétrique

- XYZ, L\*a\*b\*
- RGB / R'G'B' / CMY / C'M'Y'
- Y'IQ / Y'UV / Y'D<sub>B</sub>D<sub>R</sub>
- Y'C<sub>B</sub>C<sub>R</sub> / Y'CoC<sub>G</sub>
- Y'P<sub>B</sub>P<sub>R</sub>

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$$\begin{pmatrix} R' \\ G' \\ B' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1.396523 \\ 1 & -0.342793 & -0.711348 \\ 1 & 1.765078 & 0 \end{pmatrix} \begin{pmatrix} Y' \\ C_B \\ C_R \end{pmatrix}$$

$$\begin{pmatrix} Y' \\ C_B \\ C_R \end{pmatrix} = \begin{pmatrix} 0.299 & 0.587 & 0.114 \\ -0.168074 & -0.329965 & 0.498039 \\ 0.498039 & -0.417947 & -0.080992 \end{pmatrix} \begin{pmatrix} R' \\ G' \\ B' \end{pmatrix}$$

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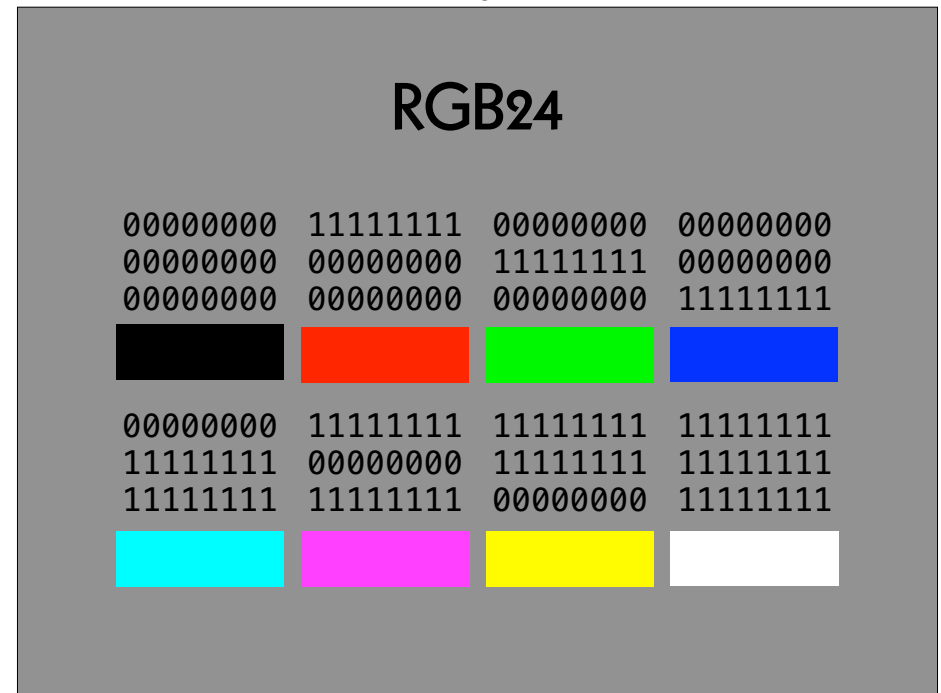


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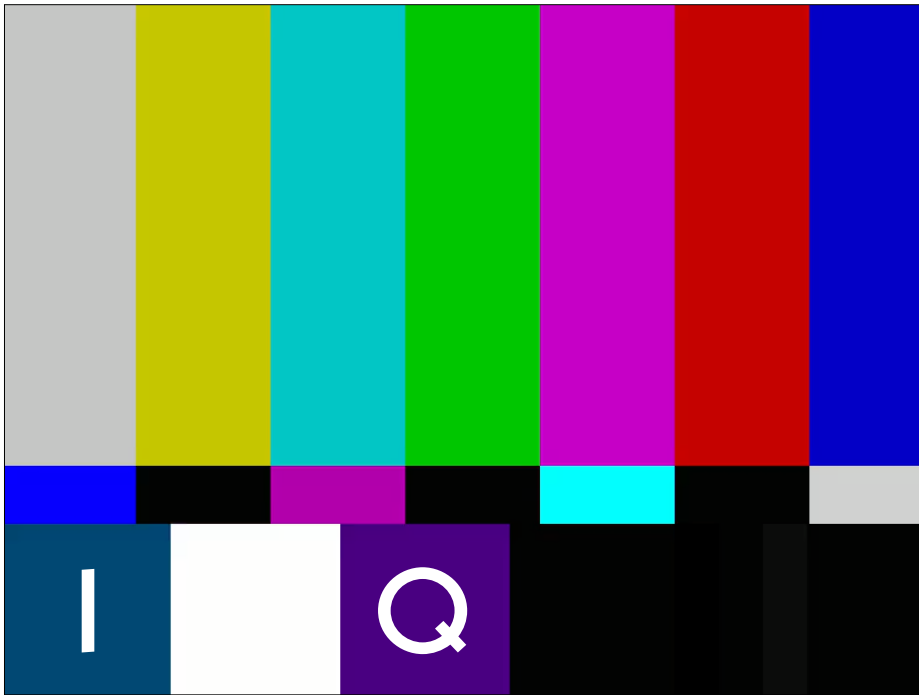
$$\begin{pmatrix} R' \\ G' \\ B' \end{pmatrix} = \begin{pmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & -1 \end{pmatrix} \begin{pmatrix} Y' \\ C_O \\ C_G \end{pmatrix}$$

$$\begin{pmatrix} Y' \\ C_O \\ C_G \end{pmatrix} = \begin{pmatrix} \frac{1}{4} & \frac{1}{2} & \frac{1}{4} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ -\frac{1}{4} & \frac{1}{2} & -\frac{1}{4} \end{pmatrix} \begin{pmatrix} R' \\ G' \\ B' \end{pmatrix}$$

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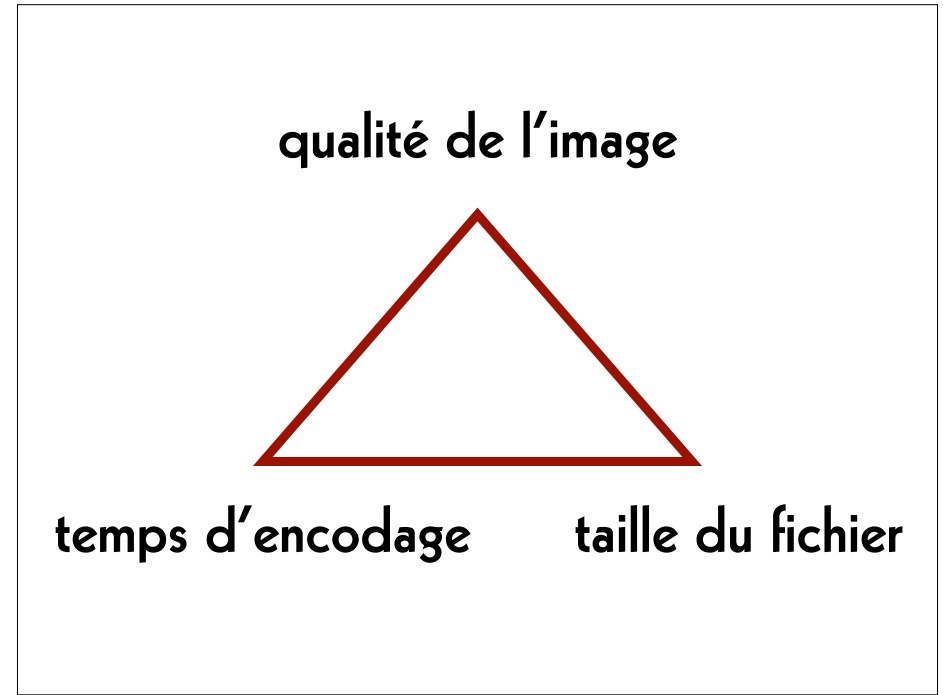


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## Compression

- non compressé
- compressé sans perte
- compressé avec perte
- sous-échantillonnage
- compressé à la création

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## Non compressé

- + données plus faciles à traiter
- + logiciels plus rapides à exécuter
- fichiers plus lourds
- fichiers plus lents à écrire, lire et transmettre

Exemples: TIFF, DPX, DNG, OpenEXR

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## Compressé sans perte

- + fichiers plus légers
- + plus rapides à lire, écrire, transmettre
- données plus complexes à traiter
- logiciels plus longs à exécuter

Exemples: JPEG 2000, FFV1

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## Compressé avec perte

- optimisé pour l'acquisition et/ou pour la postproduction
- optimisé pour la diffusion

Exemples (mezzanine): ProRes 422, ProRes 4444, DNxHD, DNxHR

Exemples (diffusion): H.264 (AVC), H.265 (HEVC), H.266 (VVC); AV1

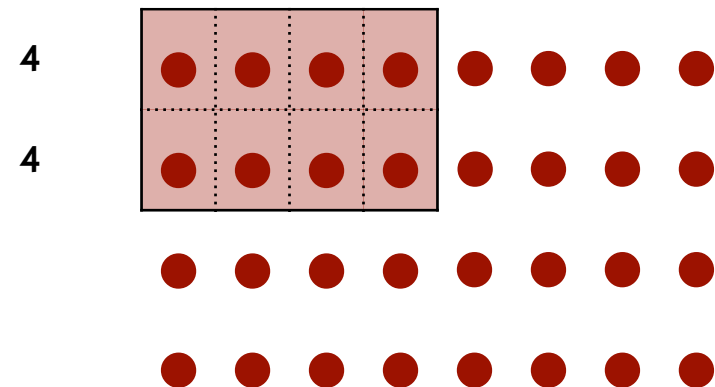
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## Sous-échantillonnage

- 4:4:4
- 4:2:2
- 4:2:0 / 4:1:1

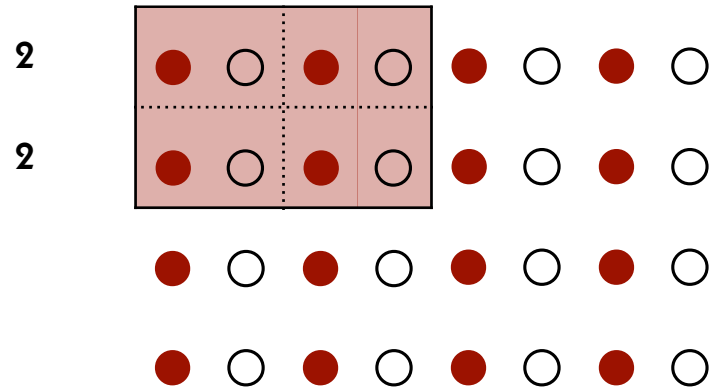
27

### 4:4:4



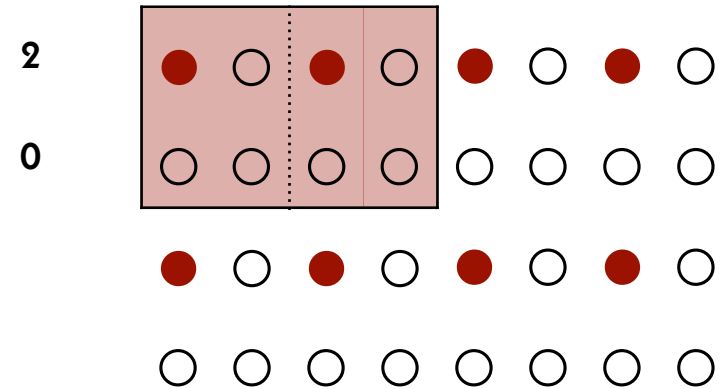
28

**4:2:2**



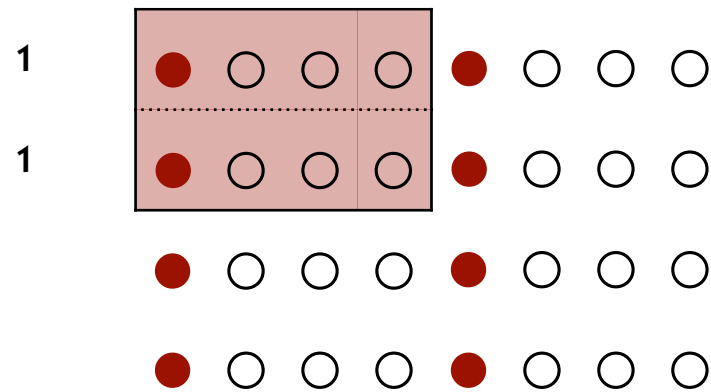
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**4:2:0**



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**4:1:1**



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## Compressé à la création

- optimisé tant pour l'acquisition que pour la postproduction

Exemples: CineForm RAW, ProRes RAW, Blackmagic RAW

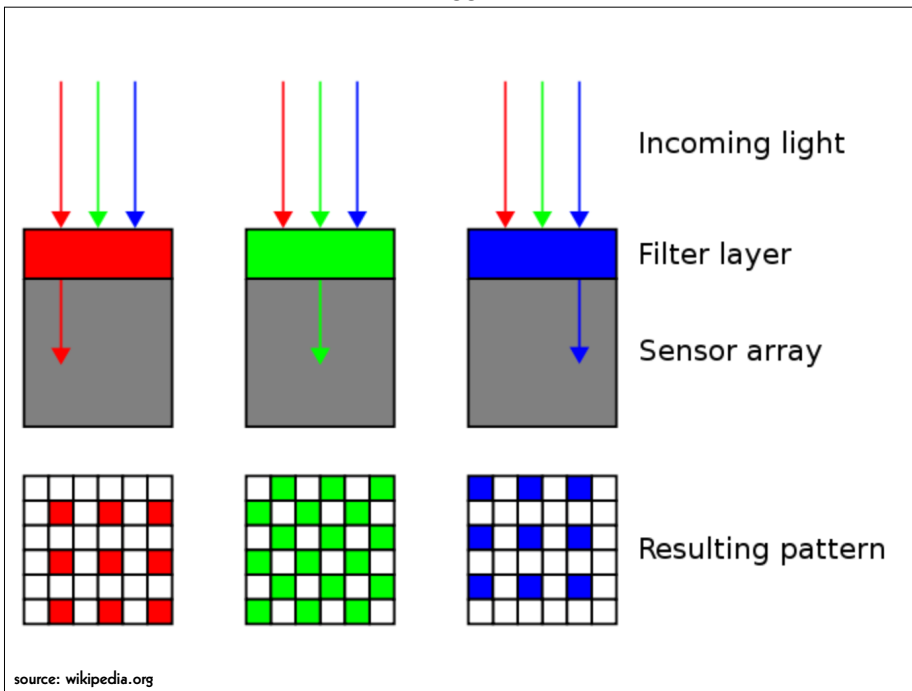
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# Vérités qui dérangent

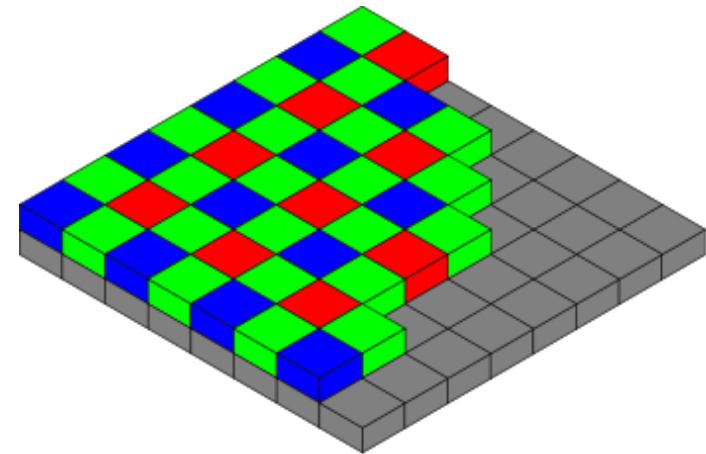
- les capteurs sont daltoniens
- les capteurs de type Bayer ne produisent pas une image RGB complète, mais seulement un tiers de l'information

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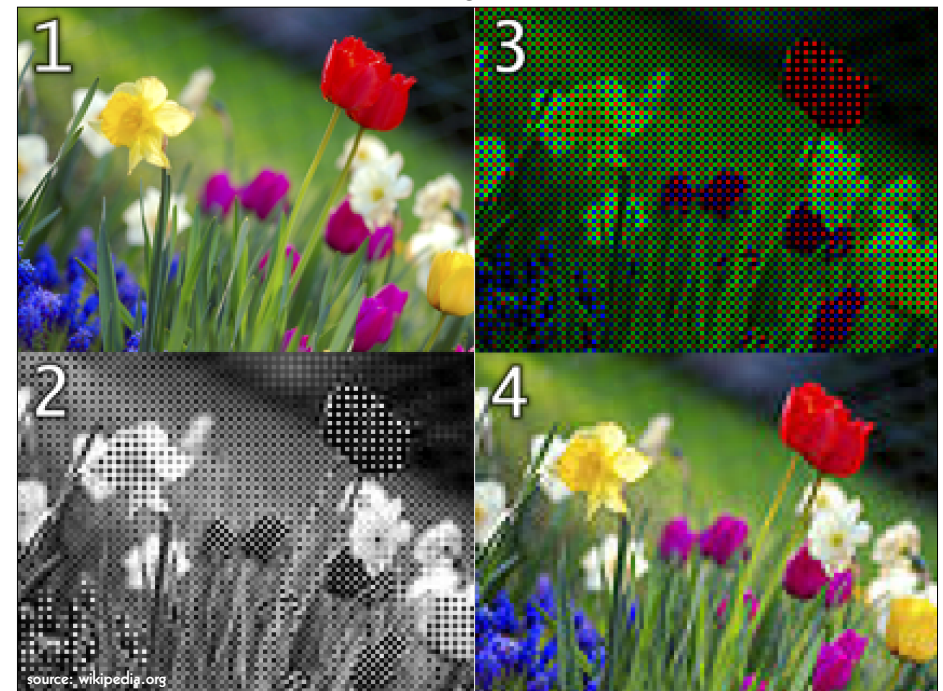
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# Bayer



source: wikipedia.org

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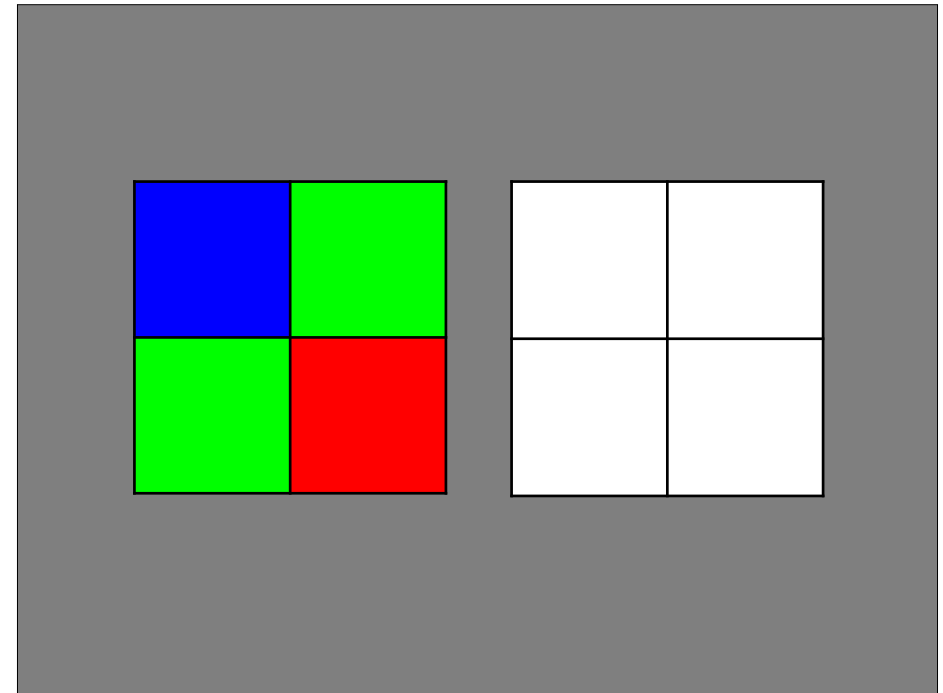
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```

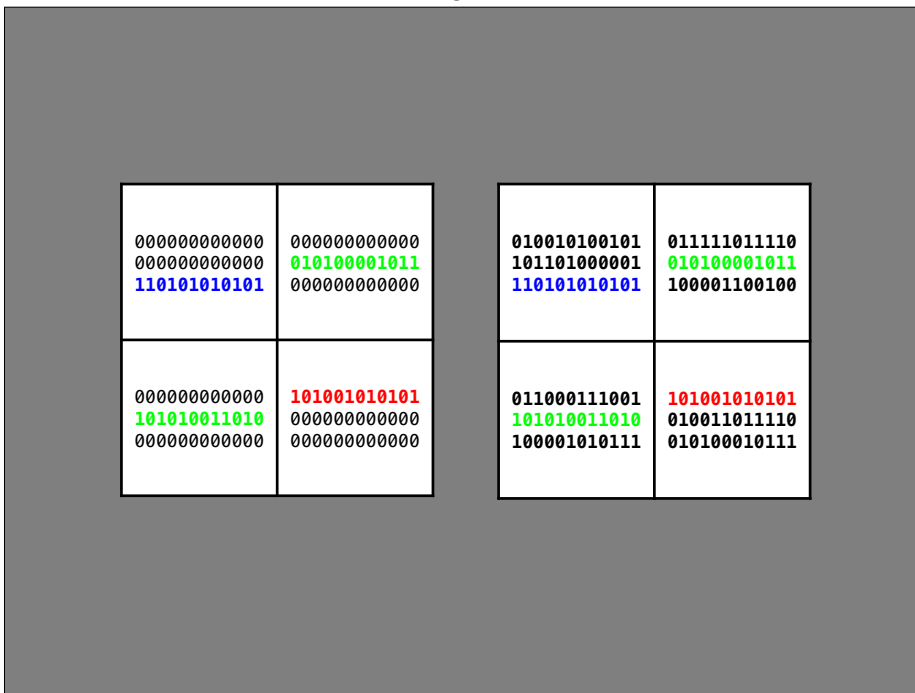
0111010100101010100010110101011110
0100110101010101010100001011101010
0111010100101010100010110101011110
0001110101010101010100001011101010
0110101010010101010001011010101111
001010101010101010000101110101010000
0111010100101010100010110101011110
0101010101010101000010111010100110
1001011101010010101010001011010101
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0111010100101010100010110101011110
0101010101010101001101010100000001
0010100010101010101001010101010101

```

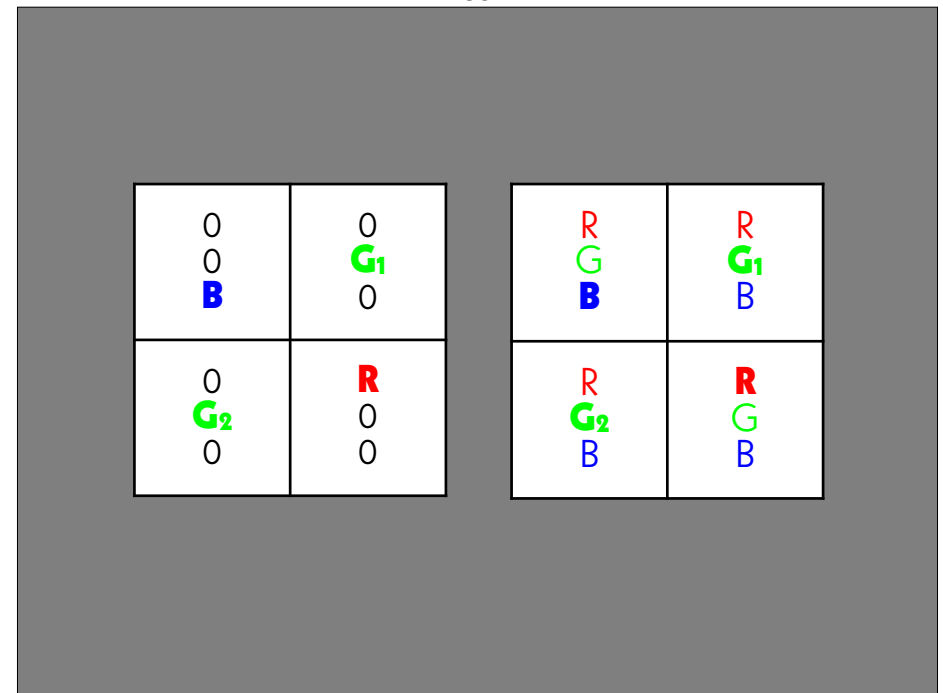
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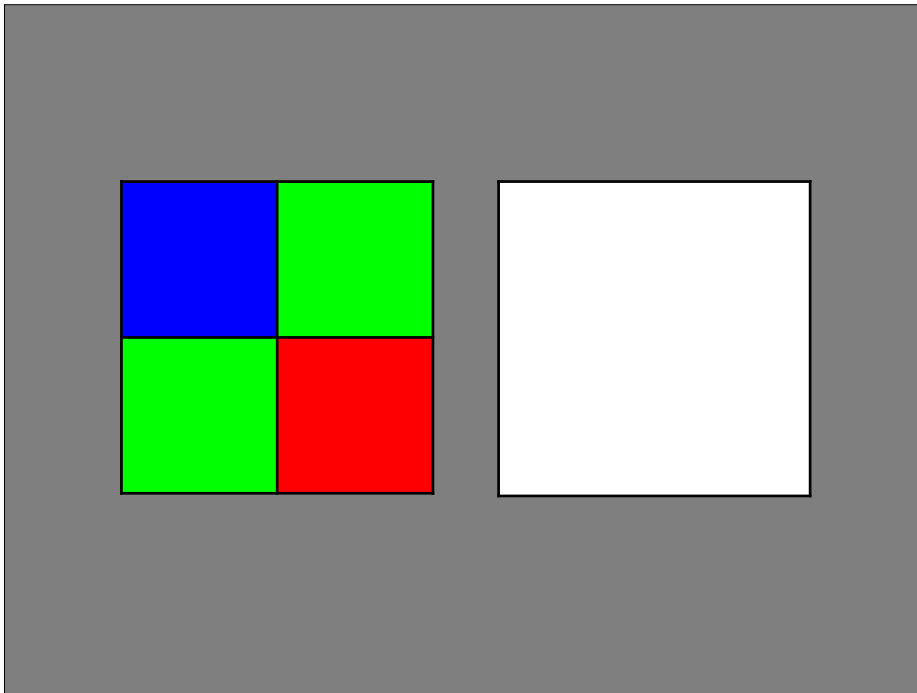
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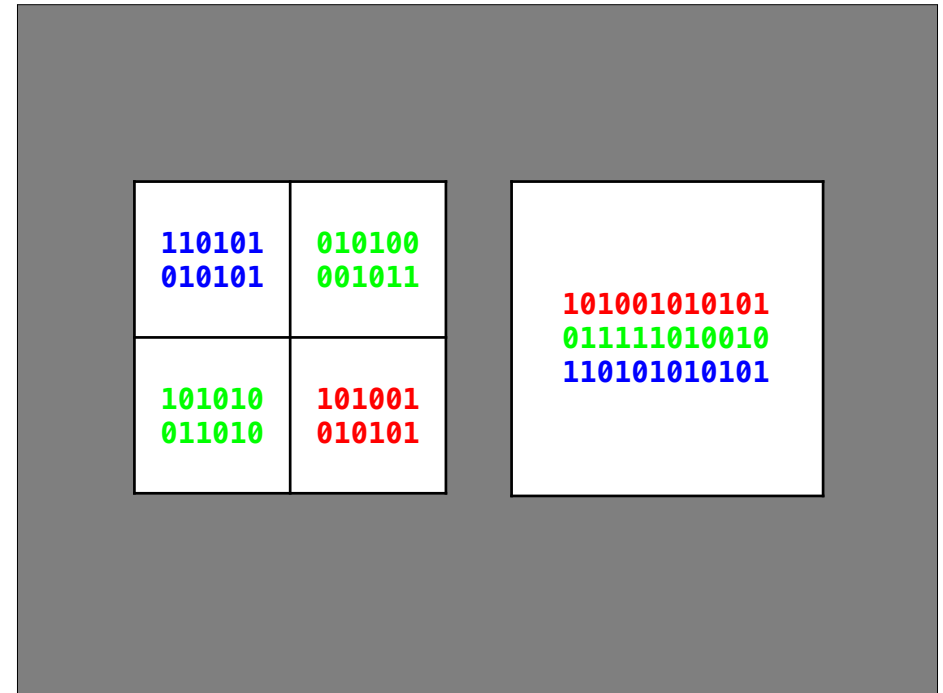
39



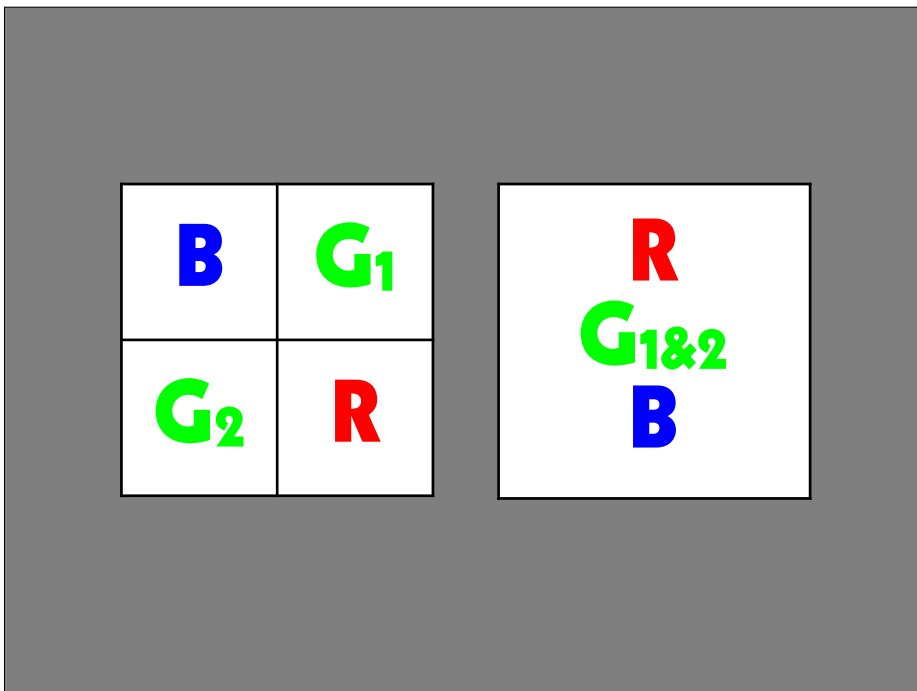
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## Façons d'utiliser les données Bayer

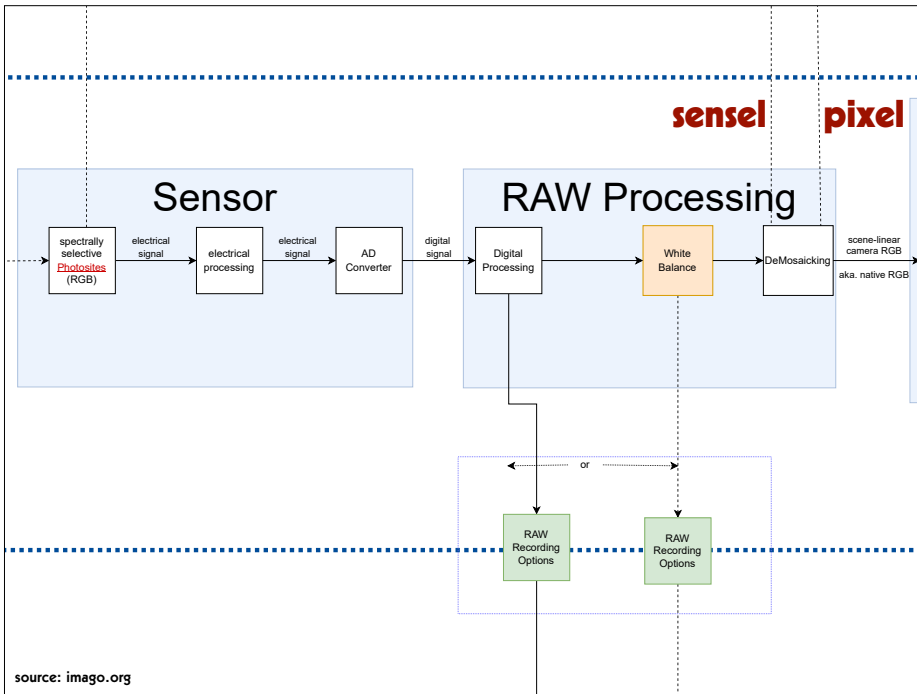
**gonflage numérique en RGB**

- les données générées sont triplées
- le fichier a la définition complète du capteur
- seule la moitié des données est réelle

**réduction numérique en RGB**

- les trois quarts des données générées sont stockées
- le fichier a la moitié de la définition du capteur
- toutes les données sont réelles

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## Façons de stocker les données Bayer

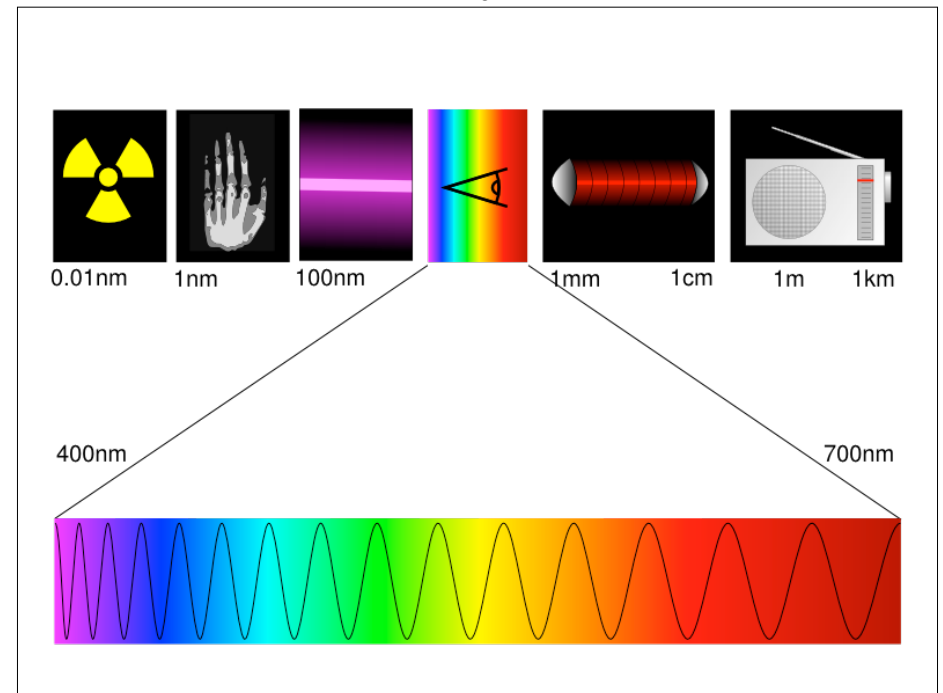
- pixel values generated by one de-mosaicking algorithm (digital blow-up)
- pixel values generated by mixing two green sensel values into one (digital reduction)
- raw sensel values

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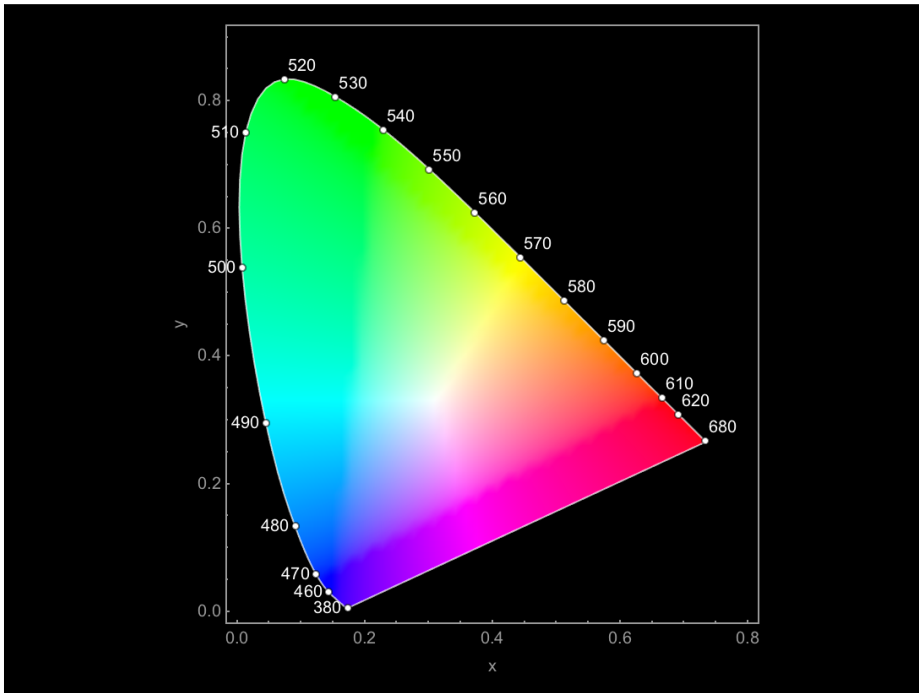
## Illuminant

- D50
- D55
- D65
- D75

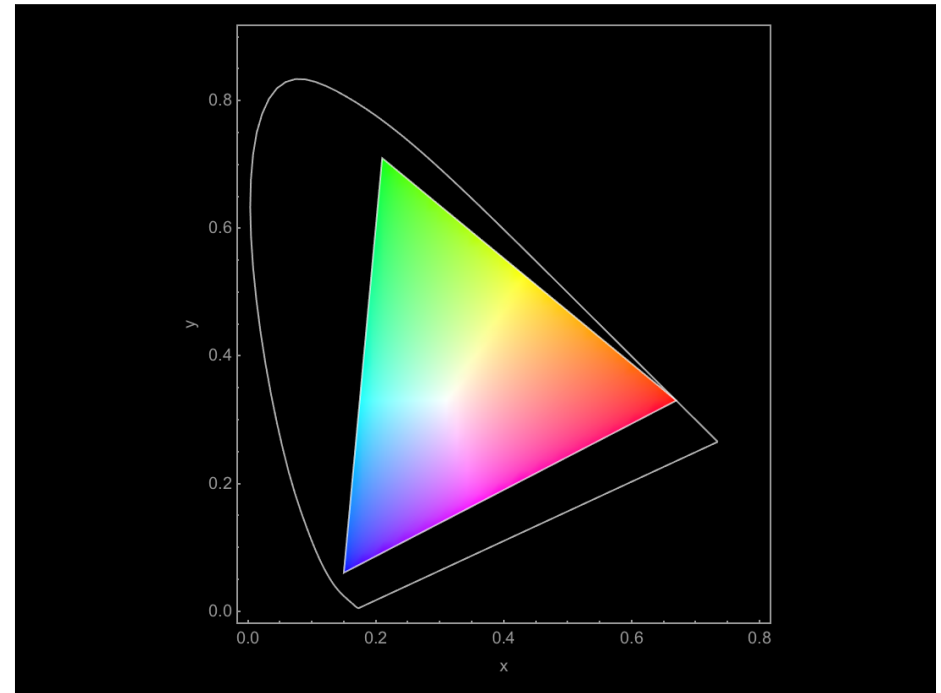
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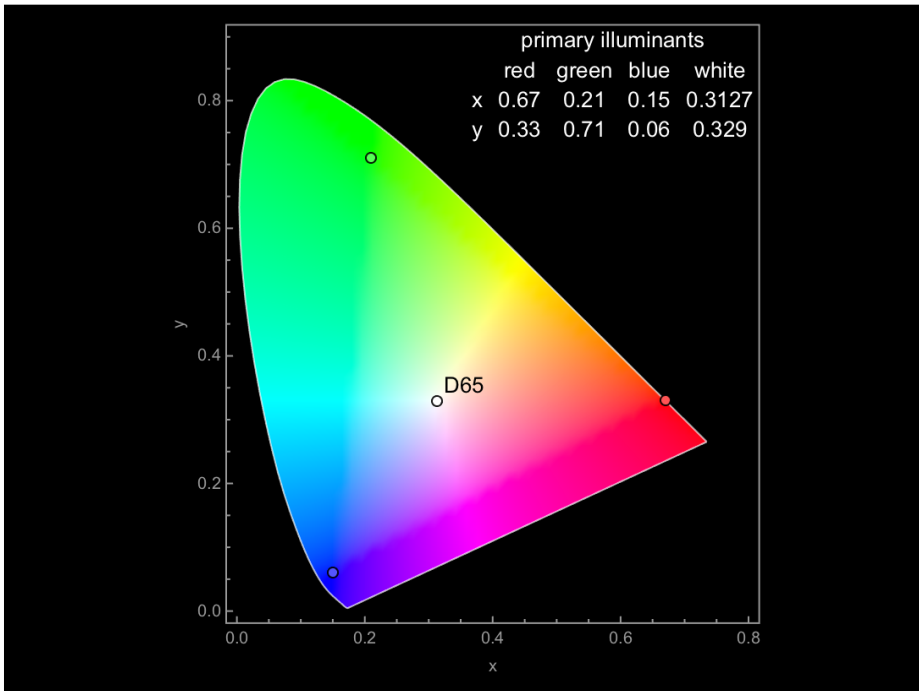
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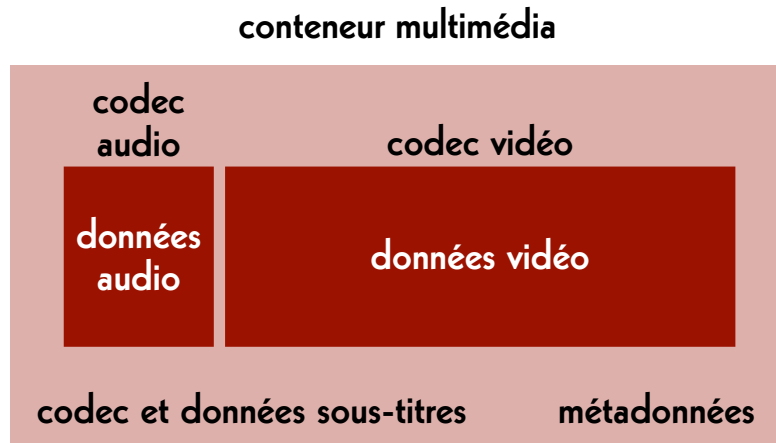


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**Structure  
des fichiers**

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## Structure des fichiers



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## Conteneur multimédia

- MP4
- QuickTime (.mov)
- AVI
- MXF
- Matroska (.mkv)
- Flash

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## Images individuelles

- dossier
- TAR
- ZIP
- MXF
- Matroska (.mkv)
- CinemaDNG
- Motion JPEG

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## Codec audio

- WAVE
- BWF
- AAC
- MP3
- FLAC

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## Codec vidéo (master)

### images

- TIFF
- DPX
- JPEG 2000
- OpenEXR
- DNG

### vidéo

- 8 bit raw
- 10 bit raw
- HuffYUV
- FFV1

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## Codec vidéo (mezzanine)

- ProRes 422, ProRes 4444, ProRes RAW
- DNxHD, DNxHR
- CineForm RAW
- Blackmagic RAW

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## Codec vidéo (accès)

- H.264 (AVC)
- H.265 (HEVC)
- H.266 (VVC)
  
- AV1

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**RAW data are cooked.**

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## Données audio

- pcm\_s16le
- pcm\_s24le
- pcm\_s32le

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## Données vidéo

- rgb48le
- rgb24
- rgb72le
- bayer\_bggr16le
- bayer\_bggr24le
- yuv444p16le
- yuv422p10le
- uyvy422
- yuv420p
- yuv444p24le

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## Que contient mon DPX?

- log neg encoding
- log RGB encoding or quasi-log encoding
- gamma encoding or power function encoding
- scene-linear encoding

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# Formats de fichiers

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## Principes

- **Une archive doit être capable de traiter les formats de fichiers qu'elle possède.**
- open source
- simple à utiliser et bien documenté
- largement utilisé par la communauté

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## Différents formats pour différentes utilisations

master d'archivage

→ pour la préservation et l'archivage

formats mezzanine

→ pour le montage et la postproduction

formats de distribution

→ pour la diffusion et l'accès

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Elena Rossi-Snook:

**Archiving without access  
isn't preservation,  
it's hoarding.**

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## Master d'archivage (actuel)

images individuelles («film»)

- dossier, TIFF, 2K ou 4K, RGB, 16 bit
- MXF, DPX, 2K ou 4K, R'G'B', 10 bit

flux d'images («vidéo»)

- AVI, «raw», HD, Y'CbCr 4:2:2, 10 bit
- Matroska, FFV1, HD, Y'CbCr 4:2:2, 10 bit

audio

- BWF, 96 kHz, 24 bit
- FLAC, 96 kHz, 24 bit

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## Formats mezzanine (actuel)

### image

- ProRes 4444, 2K
- DNxHR, 2K
- ProRes 422 HQ, HD
- DNxHD 175x, HD

### son

- BWF, 48 kHz, 24 bit
- WAVE, 48 kHz, 24 bit

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## Master d'archive et mezzanine

### images individuelles («film»)

- Matroska, FFV1, 4K ou 2K, RGB, 16 bit
- Matroska, FFV1, 4K ou 2K, R'G'B', 12 bit

### flux d'images («vidéo»)

- Matroska, FFV1, «HD», Y'CbCr 4:4:4, 10 bit
- Matroska, FFV1, «HD», Y'CbCr 4:4:4, 12 bit

### son

- Matroska, FLAC, 192 kHz, 24 bit

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## Formats de distribution (actuel)

### MP4

#### image

- H.264, SD, Y'CbCr 4:2:0, 8 bit, lossy
- H.264, «HD», Y'CbCr 4:2:0, 8 bit, lossy

#### son

- AAC, 44.1 kHz, 16 bit
- AAC, 48 kHz, 16 bit

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## Formats de distribution

### MP4

#### image

- H.265, «HD», Y'CbCr 4:2:0, 8 bit, lossy
- H.266, «HD», Y'CbCr 4:2:0, 8 bit, lossy
- AV1, «HD», Y'CbCr 4:2:0, 8 bit, lossy

#### son

- AAC, 48 kHz, 16 bit
- AAC, 96 kHz, 16 bit

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## Bibliographie

Reto Kromer: **Matroska and FFV1: One File Format for Film and Video Archiving?**, in «Journal of Film Preservation», n° 96 (avril 2017), FIAF, Bruxelles, Belgique, p. 41–45

→ [https://retokromer.ch/publications/JFP\\_96.html](https://retokromer.ch/publications/JFP_96.html)

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## Avantages et inconvénients

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### conteneur:

- dossier
- TAR
- ZIP
- MXF
- Matroska

### codec:

- TIFF
- DPX
- JPEG 2000
- FFV1
- OpenEXR
- CineForm RAW
- ProRes RAW
- Blackmagic RAW

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	avantages	inconvénients
TIFF DPX OpenEXR	traitement plus simple	fichiers plus lourds
JPEG 2000 FFV1	fichiers plus légers	traitement plus complexe

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# Un pont entre les deux mondes

## RAWcooked (CLI)

→ [mediaarea.net/RAWcooked](http://mediaarea.net/RAWcooked)

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# RAWcooked

- encoding into Matroska (.mkv) using FFV1 video codec and FLAC audio codec
- all metadata preserved
- decoding with bit-by-bit reversibility
- possibility to embed sidecar files (e.g. MD5, LUT, XML)
- compatibility with media players

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# Conteneur MXF (.mxf)

## codec vidéo

- DPX
- JPEG 2000
- DNxHD, DNxHR
- ProRes 422, ProRes 4444

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SMPTE RDD 48:2018

## SMPTE REGISTERED DISCLOSURE DOCUMENT



## MXF Archive and Preservation Format Registered Disclosure Document

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The attached document is a Registered Disclosure Document prepared by the sponsor identified below. It has been examined by the appropriate SMPTE Technology Committee and is believed to contain adequate information to satisfy the objectives defined in the Scope, and to be technically consistent.

This document is NOT a Standard, Recommended Practice or Engineering Guideline, and does NOT imply a finding or representation of the Society.

Every attempt has been made to ensure that the information contained in this document is accurate. Errors in this document should be reported to the proponent identified below, with a copy to [eng@smpte.org](mailto:eng@smpte.org).

All other inquiries in respect of this document, including inquiries as to intellectual property requirements that may be attached to use of the disclosed technology, should be addressed to the proponent identified below.

### Proponent Contact Information:

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101 Independence Ave, S.E.  
Washington, DC 20540-1300

Email: [kmur@loc.gov](mailto:kmur@loc.gov)

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## MXF / DPX

MXF

→ SMPTE RDD 48:2018

DPX

→ SMPTE ST 268M:2015

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## MXF / JPEG 2000

MXF

→ SMPTE RDD 48:2018

JPEG 2000

→ ISO/IEC 15444-1:2019

→ etc.

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## MXF / DN<sub>x</sub>

MXF

→ SMPTE RDD 48:2018

DN<sub>x</sub>HD, DN<sub>x</sub>HR

→ non publié

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## MXF / ProRes

MXF

→ SMPTE RDD 48:2018

ProRes 422, ProRes 4444

→ SMPTE RDD 36:2015

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SMPTE RDD 36:2015

**SMPTE REGISTERED  
DISCLOSURE DOCUMENT**

Apple ProRes Bitstream Syntax  
and Decoding Process 

Page 1 of 39 pages

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All other inquiries in respect of this document, including inquiries as to intellectual property requirements that may be attached to use of the disclosed technology, should be addressed to the proponent identified below.

Proponent contact information:

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Apple Inc.  
1 Infinite Loop, MS: 77-2YAK  
Cupertino, CA 95014  
USA  
Email: [ProRes@apple.com](mailto:ProRes@apple.com)

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# Conteneur Matroska (.mkv)

codec vidéo

- FFV1
- ProRes 422, ProRes 4444

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# Matroska / FFV1

**Matroska (.mkv)**  
→ IETF Internet Draft

**ProRes 422, ProRes 4444**  
→ SMPTE RDD 36:2015

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Stream:	Internet Engineering Task Force (IETF)
RFC:	<a href="#">9043</a>
Category:	Informational
Published:	August 2021
ISSN:	2070-1721
Authors:	M. Niedermayer D. Rice J. Martinez

**RFC 9043**  
**FFV1 Video Coding Format Versions 0, 1, and 3**

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**Abstract**

This document defines FFV1, a lossless, intra-frame video encoding format. FFV1 is designed to efficiently compress video data in a variety of pixel formats. Compared to uncompressed video, FFV1 offers storage compression, frame fixity, and self-description, which makes FFV1 useful as a preservation or intermediate video format.

**Status of This Memo**

This document is not an Internet Standards Track specification; it is published for informational purposes.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Not all documents approved by the IESG are candidates for any level of Internet Standard; see Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at <https://www.rfc-editor.org/info/rfc9043>.

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# Matroska / ProRes

Matroska (.mkv)

→ IETF Internet Draft

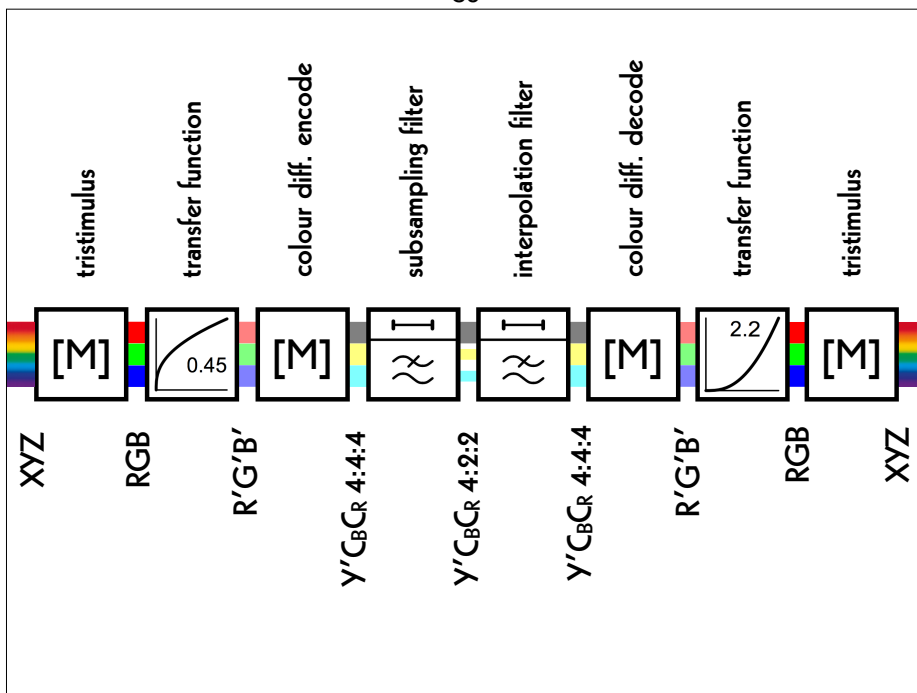
ProRes 422, ProRes 4444

→ SMPTE RDD 36:2015

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# Transformations

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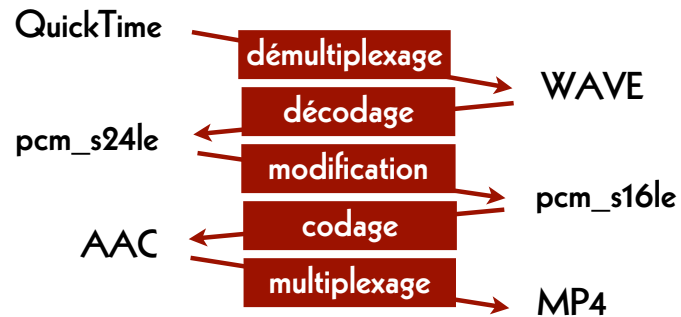
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# Transformations de fichiers

- démultiplexage
- décodage
- modification
- codage
- multiplexage

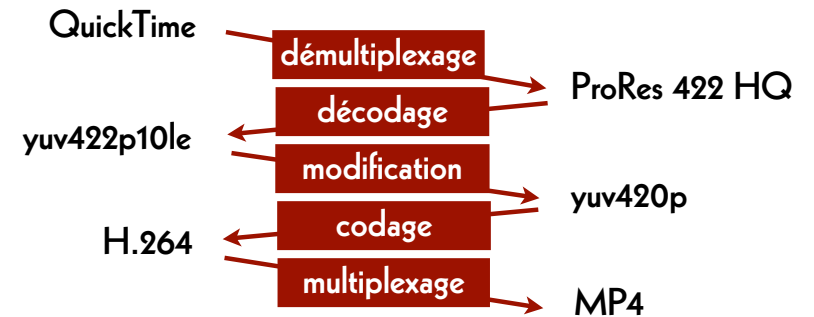
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## Exemple: audio



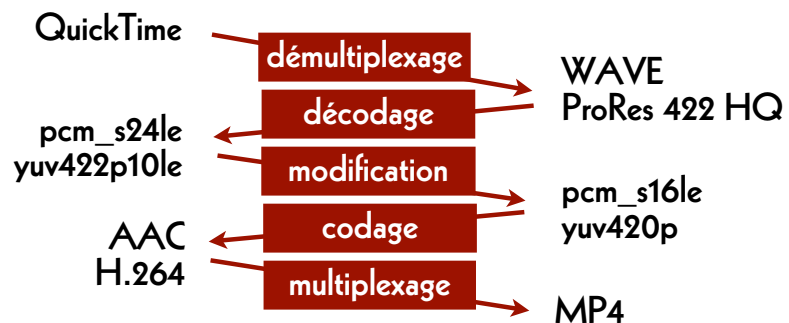
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## Exemple: vidéo



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## Exemple: audiovisuel



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## Remerciements (1)

- École polytechnique fédérale
- Massachusetts Institute of Technology
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- Dave Rice & Misty De Meo
- Agathe Jarczyk & David Pfluger

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- Peter Bubestinger-Steindl
- Jérôme Martinez
- Michael Niedermayer

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