

Audiovisuelle Dateiformate

Reto Kromer • AV Preservation by reto.ch

Hochschule der Künste Bern
**Conservation and Restoration
of Moving Images**

Online und Bern, 1. und 3. Dezember 2021

Inhalt

- digitaler Ton und digitales Bild
- Container, Codec, Rohdaten
- verschiedene Formate für unterschiedliche Zwecke
- audiovisuelle Dateiumwandlungen

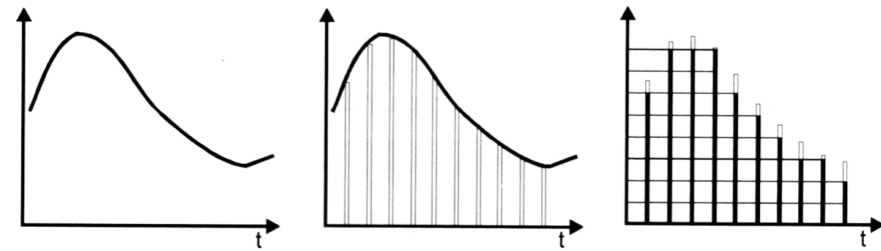
Digitaler Ton

Digitaler Ton

- Abtastung
- Quantisierung

Abtastung

- 44.1 kHz
- 48 kHz
- 96 kHz
- 192 kHz
- 500 kHz



Digitalisierung = Abtastung + Quantisierung

Quantisierung

- 16 bit ($2^{16} = 65\,536$)
- 24 bit ($2^{24} = 16\,777\,216$)
- 32 bit ($2^{32} = 4\,294\,967\,296$)

Digitales Bild

Digitales Bild

- Auflösung
- Quantisierungsauflösung
- linear, Potenzfunktion, logarithmisch
- Farbraum
- Farbunterabtastung
- Normlicht

Auflösung

- SD 480i / SD 576i
- HD 720p / HD 1080i
- 2K / HD 1080p
- 4K / UHD-1
- 8K / UHD-2

Quantisierungsauflösung

- 8 bit ($2^8 = 256$)
- 10 bit ($2^{10} = 1\ 024$)
- 12 bit ($2^{12} = 4\ 096$)
- 16 bit ($2^{16} = 65\ 536$)
- 24 bit ($2^{24} = 16\ 777\ 216$)

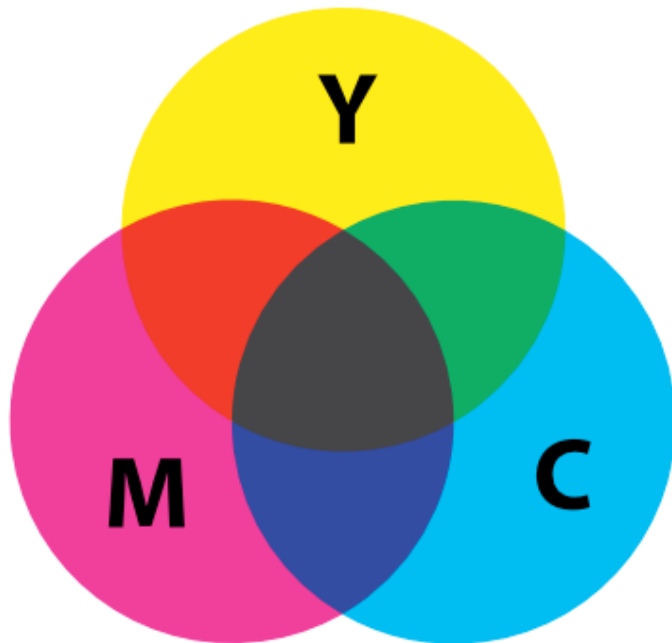
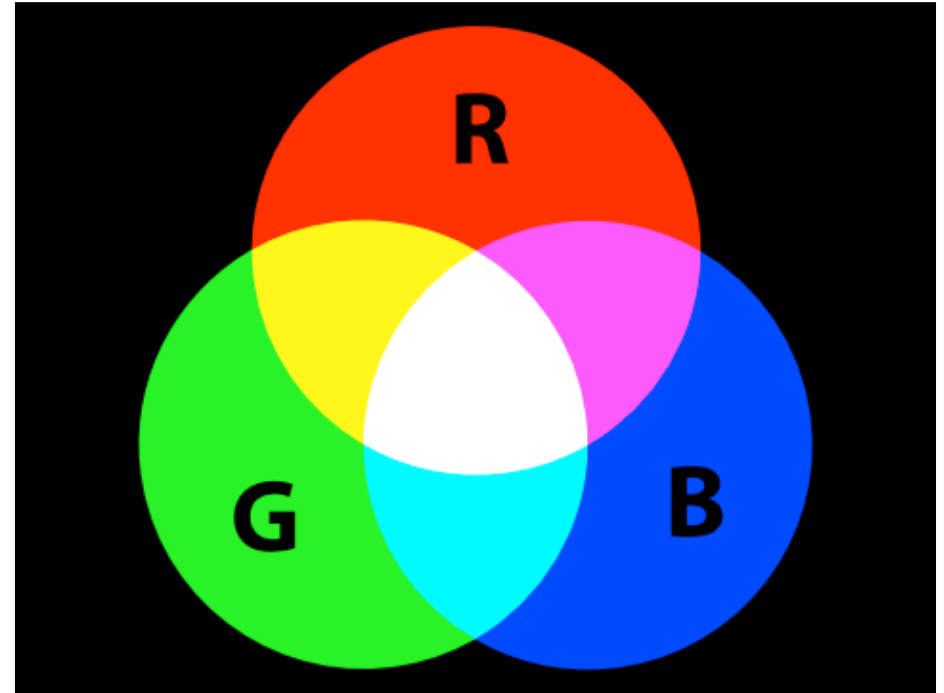
Linear, Potenz, Logarithmus

«Mittelgrau»

- lineare Funktion: etwa 18 %
- Potenzfunktion: 50 %
- Logarithmusfunktion: 50 %

Farbraum

- XYZ, L*a*b*
- RGB / R'G'B' / CMY / C'M'Y'
- Y'IQ / Y'UV / Y'D_BD_R
- Y'C_BC_R / Y'CoC_G
- Y'P_BP_R



$$\begin{pmatrix} R' \\ G' \\ B' \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1.396523 \\ 1 & -0.342793 & -0.711348 \\ 1 & 1.765078 & 0 \end{pmatrix} \begin{pmatrix} Y' \\ C_B \\ C_R \end{pmatrix}$$

$$\begin{pmatrix} Y' \\ C_B \\ C_R \end{pmatrix} = \begin{pmatrix} 0.299 & 0.587 & 0.114 \\ -0.168074 & -0.329965 & 0.498039 \\ 0.498039 & -0.417947 & -0.080992 \end{pmatrix} \begin{pmatrix} R' \\ G' \\ B' \end{pmatrix}$$

$$\begin{pmatrix} R' \\ G' \\ B' \end{pmatrix} = \begin{pmatrix} 1 & 1 & -1 \\ 1 & 0 & 1 \\ 1 & -1 & -1 \end{pmatrix} \begin{pmatrix} Y' \\ C_O \\ C_G \end{pmatrix}$$

$$\begin{pmatrix} Y' \\ C_O \\ C_G \end{pmatrix} = \begin{pmatrix} \frac{1}{4} & \frac{1}{2} & \frac{1}{4} \\ \frac{1}{2} & 0 & -\frac{1}{2} \\ -\frac{1}{4} & \frac{1}{2} & -\frac{1}{4} \end{pmatrix} \begin{pmatrix} R' \\ G' \\ B' \end{pmatrix}$$



RGB24

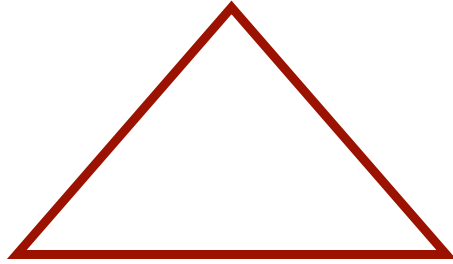
```
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00000000 00000000 11111111 00000000
00000000 00000000 00000000 11111111
```



```
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11111111 00000000 11111111 11111111
11111111 11111111 00000000 11111111
```



Bildqualität



Kodierzeit

Dateigrösse

Kompression

- nicht komprimiert
- verlustfrei komprimiert
- verlustbehaftet komprimiert
- Farunterabtastung
- komprimiert generiert

Nicht komprimiert

- + Daten sind leichter zu bearbeiten
- + Software läuft schneller
- grössere Dateien
- langsames Schreiben, Übermitteln und Lesen der Dateien

Beispiele: TIFF, DPX, DNG, OpenEXR

Verlustfrei komprimiert

- + kleinere Dateien
- + schnelleres Schreiben, Übermitteln und Lesen der Dateien
- Daten sind komplexer zu bearbeiten
- Software läuft langsamer

Beispiele: JPEG 2000, FFV1

Verlustbehaftet komprimiert

- optimiert für Aufnahme und/oder Postproduktion
- optimiert für Zugang und Distribution

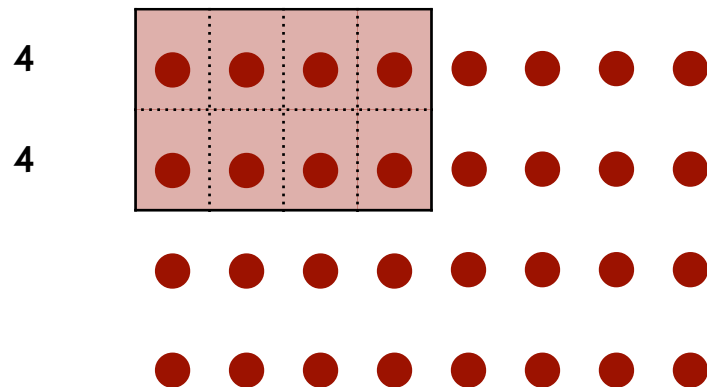
Beispiele (Mezzanine): ProRes 422, ProRes 4444; DNxHD, DNxHR

Beispiele (Zugang): H.264 (AVC), H.265 (HEVC), H.266 (VVC); AV1

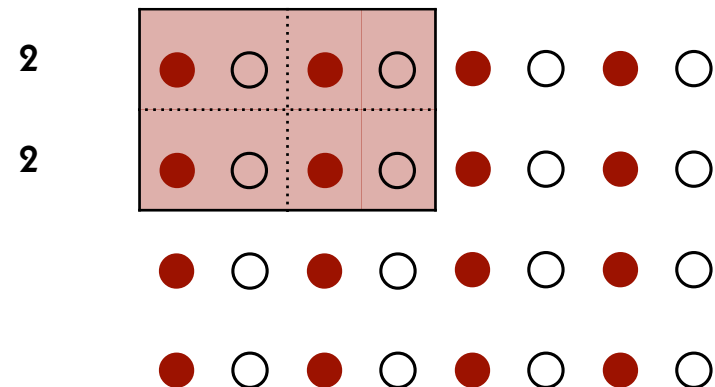
Farbunterabtastung

- 4:4:4
- 4:2:2
- 4:2:0 / 4:1:1

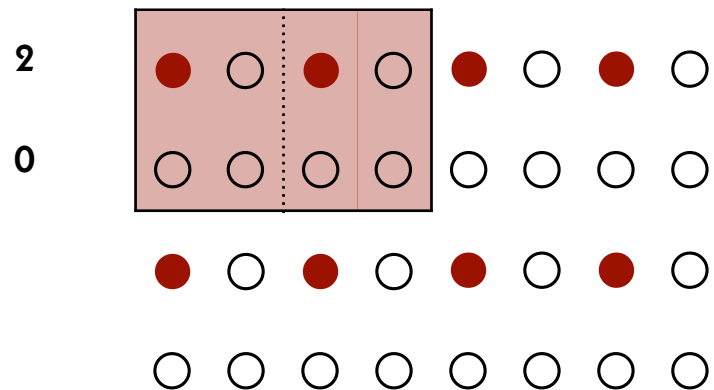
4:4:4



4:2:2



4:2:0



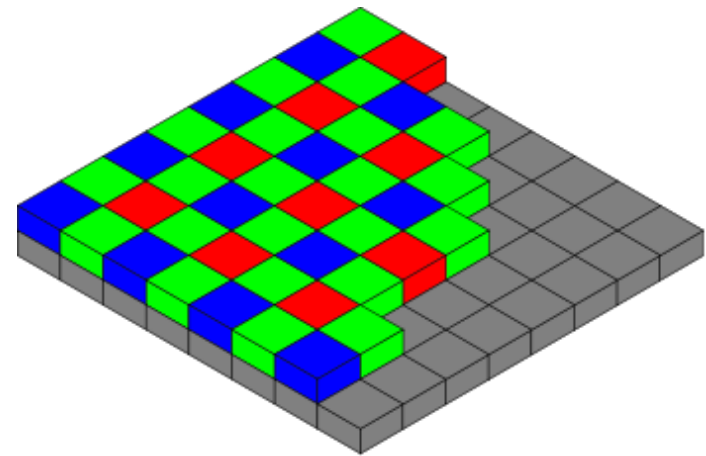
Komprimiert generiert

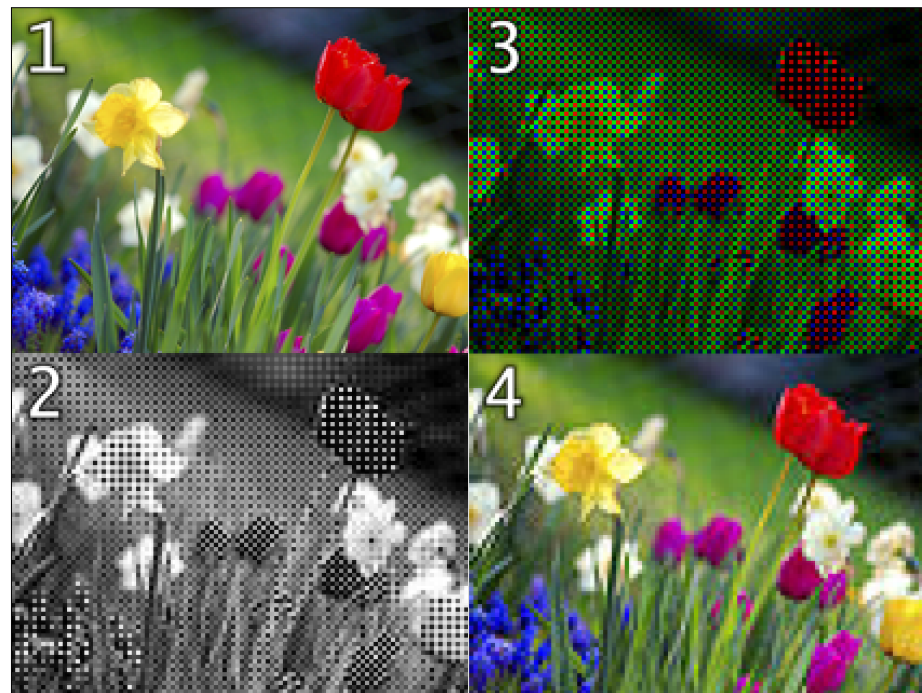
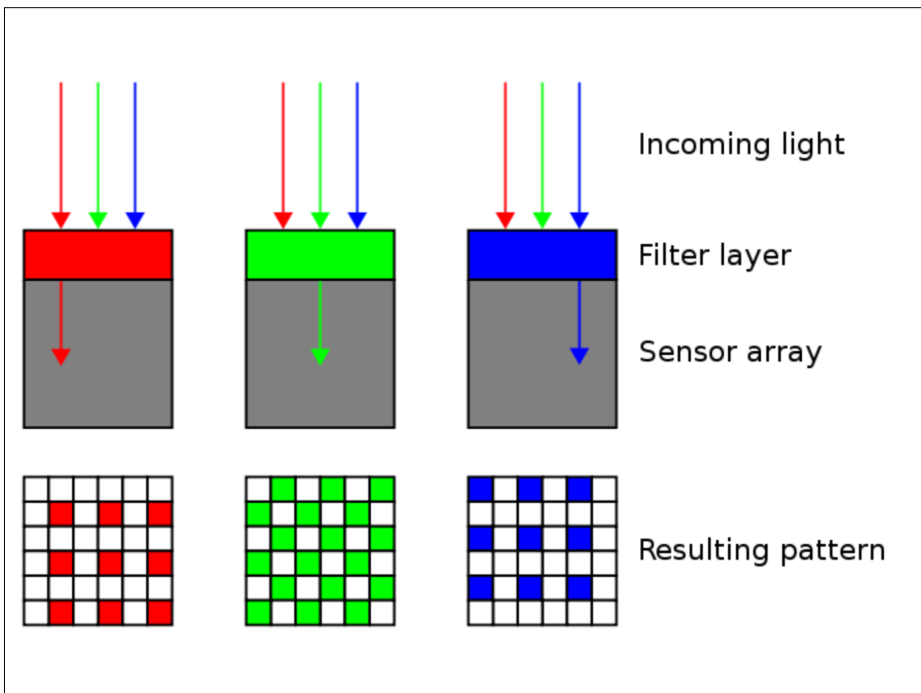
- sowohl für Aufnahme als auch für Postproduktion optimiert

Beispiele: CineForm RAW, ProRes RAW, Blackmagic RAW

Unbequeme Wahrheiten

- Sensoren sind farbenblind
- Bayer-Sensoren erzeugen kein vollständiges RGB-Bild

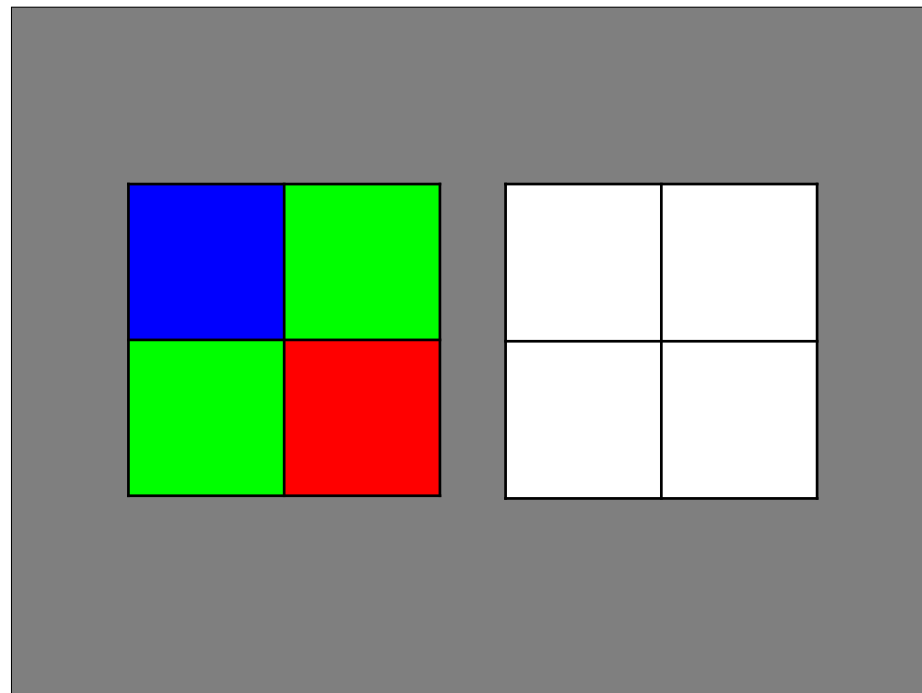




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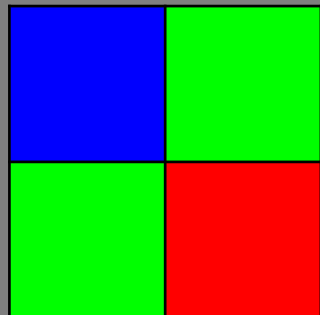
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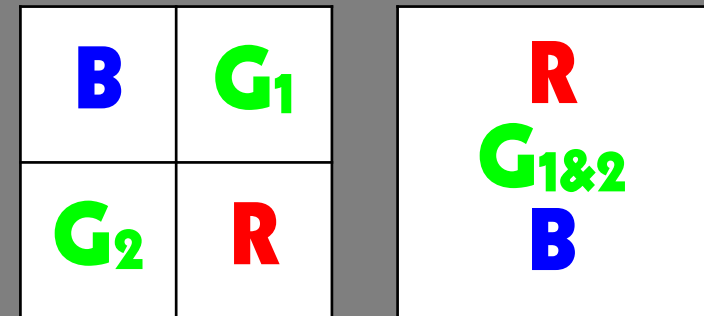
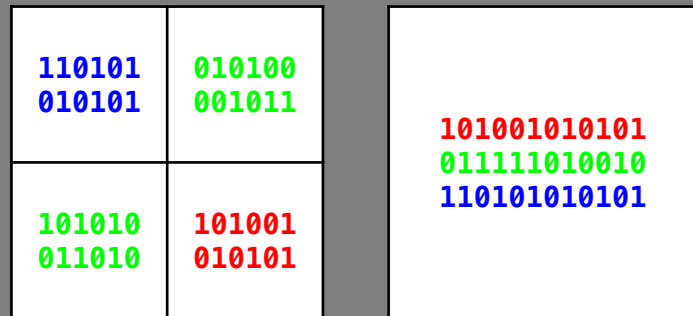
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011000111001 101010011010 100001010111	101001010101 010011011110 010100010111

0 0 B	0 G ₁ 0
0 G ₂ 0	R 0 0

R G B	R G ₁ B
R G ₂ B	R G B





Zwei Möglichkeiten, Bayer-Daten zu verwenden

digitales Aufblasen auf RGB

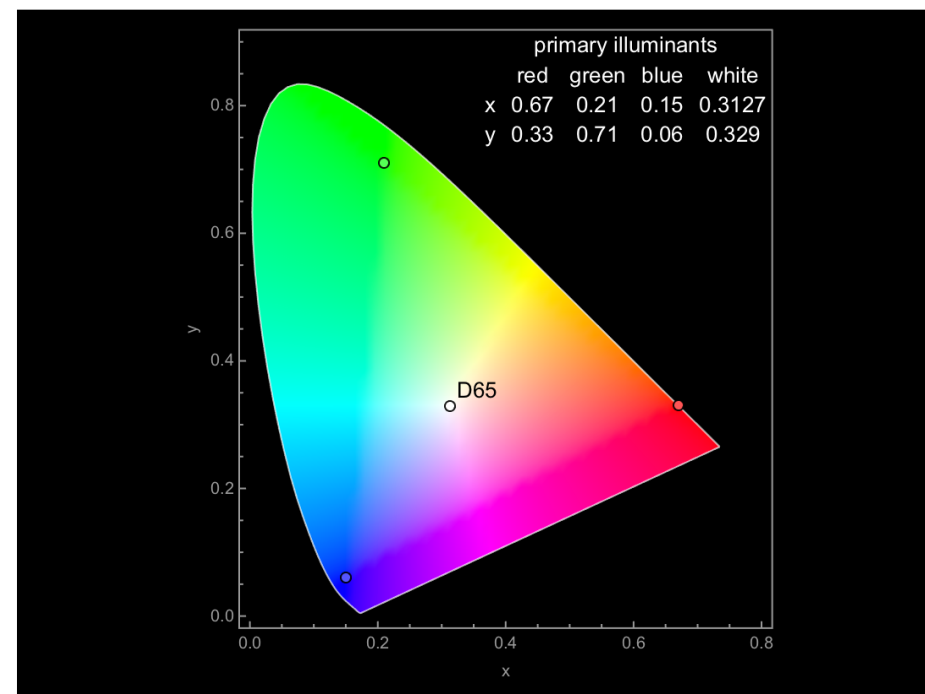
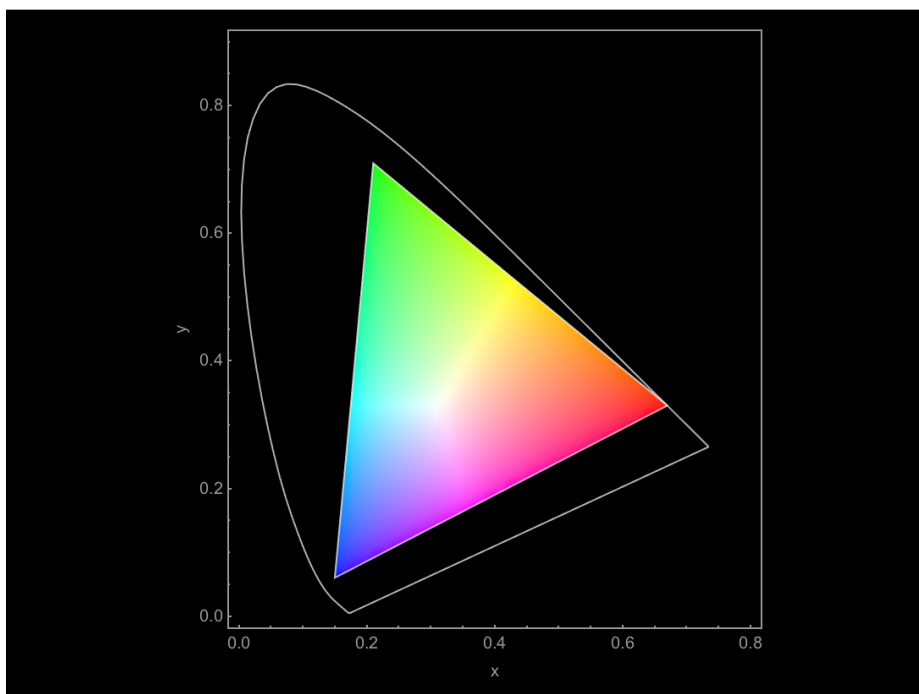
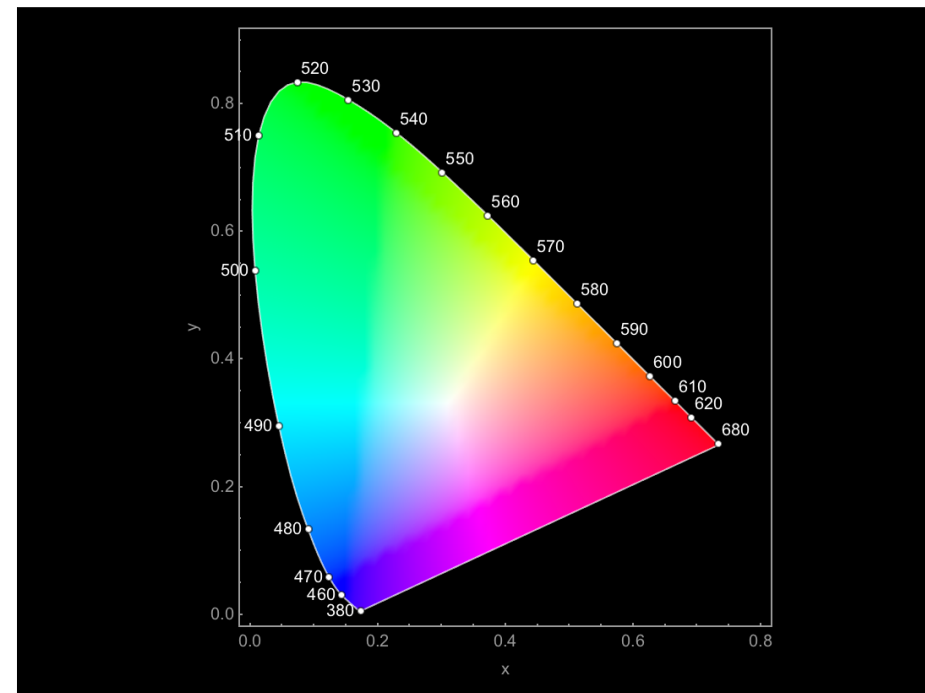
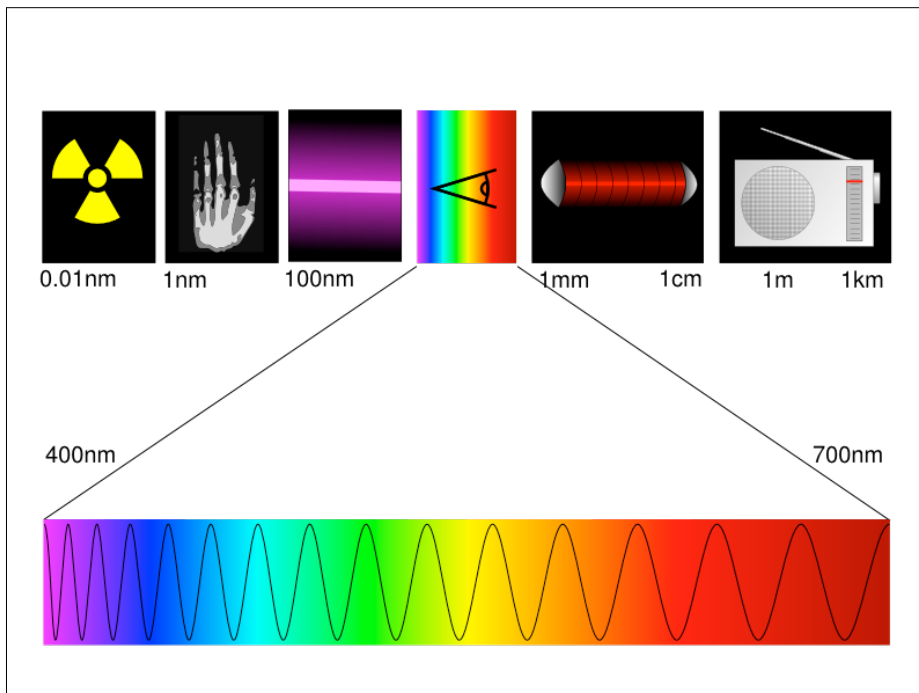
- die generierten Daten werden verdreifacht
- die Datei hat die volle Sensorauflösung
- nur die Hälfte der Daten ist real

digitale Reduktion auf RGB

- drei Viertel der generierten Daten sind gespeichert
- die Datei hat die halbe Sensorauflösung
- die gesamten Daten sind real

Normlicht

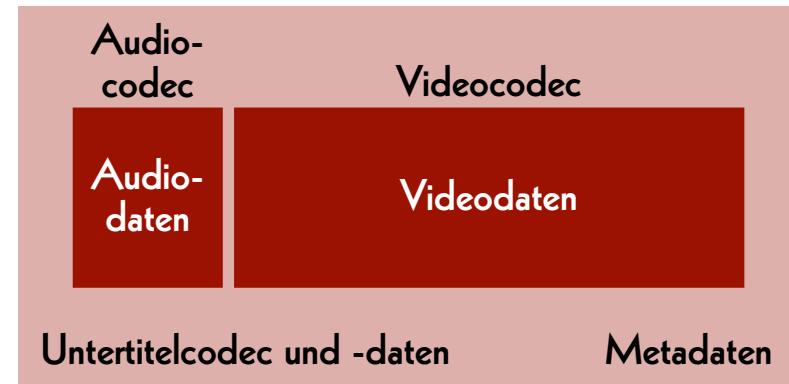
- D50
- D55
- D65
- D75



Dateiaufbau

Dateiaufbau

Container (Wrapper)



Container für Datenfluss

- MP4
- QuickTime (.mov)
- AVI
- Flash
- MXF
- Matroska (.mkv)

Container für Einzelbilder

- Ordner
- TAR
- ZIP
- MXF
- Matroska (.mkv)
- CinemaDNG
- Motion JPEG

Audiocodec

- WAVE
- BWF
- AAC
- MP3
- FLAC

Videocodec (Master)

Einzelbilder

- TIFF
- DPX
- JPEG 2000
- OpenEXR
- DNG

Datenfluss

- 8 bit raw
- 10 bit raw
- HuffYUV
- FFV1

Videocodec (Mezzanine)

- ProRes 422, ProRes 4444, ProRes RAW
- DNxHD, DNxHR
- CineForm RAW
- Blackmagic RAW

Videocodec (Zugang)

- H.264 (AVC)
- H.265 (HEVC)
- H.266 (VVC)
- AV1

Data is anything
but «raw».

Audiodaten

- pcm_s16le
- pcm_s24le
- pcm_s32le

Videodaten

- rgb48le
- rgb24
- rgb72le
- bayer_bggr16le
- bayer_bggr24le
- yuv444p16le
- yuv422p10le
- uyvy422
- yuv420p
- yuv444p24le

Dateiformate

Grundsätze

- **Ein Archiv muss seine Dateien pflegen und handhaben können.**
- Open Source
- einfache Bedienung und ausführliche Dokumentation
- weite Verbreitung

Formate für verschiedene Anwendungszwecke

Archivmasterformat

→ zur Erhaltung und Archivierung

Mezzanine-Format

→ zur Bearbeitung und Postproduktion

Distributionsformat

→ zur Verbreitung und Zugänglichmachung

Elena Rossi-Snook:

**Archiving without access
isn't preservation,
it's hoarding.**

Archivmaster (heute)

«Film» (Einzelbilder)

- Ordner, TIFF, 2K oder 4K, RGB, 16 bit
- MXF, DPX, 2K oder 4K, R'G'B', 10 bit

«Video» (Datenfluss)

- AVI, «raw», HD, Y'CbCr 4:2:2, 10 bit
- Matroska, FFV1, HD, Y'CbCr 4:2:2, 10 bit

Ton

- BWF, 96 kHz, 24 bit
- FLAC, 96 kHz, 24 bit

Mezzanine (heute)

Bild

- ProRes 4444, 2K
- DNxHR, 2K
- ProRes 422 HQ, HD
- DNxHD 175x, HD

Ton

- BWF, 48 kHz, 24 bit
- WAVE, 48 kHz, 24 bit

Zugang (heute)

MP4

Bild

- H.264, SD, Y'CbCr 4:2:0, 8 bit, lossy
- H.264, «HD», Y'CbCr 4:2:0, 8 bit, lossy

Ton

- AAC, 44.1 kHz, 16 bit
- AAC, 48 kHz, 16 bit

Archivmaster und Mezzanine

«Film» (Einzelbilder)

- Matroska, FFV1, 4K oder 2K, RGB, 16 bit

«Video» (Datenfluss)

- Matroska, FFV1, «HD», Y'CbCr 4:2:2, 10 bit

Ton

- Matroska, FLAC, 192 kHz, 24 bit

Zugang

MP4

Bild

- H.265, «HD», Y'CbCr 4:2:0, 8 bit, lossy
- H.266, «HD», Y'CbCr 4:2:0, 8 bit, lossy
- AV1, «HD», Y'CbCr 4:2:0, 8 bit, lossy

Ton

- AAC, 96 kHz, 16 bit

Bibliografie

Reto Kromer: **Matroska and FFV1: One File Format for Film and Video Archiving?**, in «Journal of Film Preservation», Nr. 96 (April 2017), FIAF, Brüssel, Belgien, S. 41–45

→ https://retokromer.ch/publications/JFP_96.html

Vor- und Nachteile

Container:

- Ordner
- TAR
- ZIP
- MXF
- Matroska

Codec:

- TIFF
- DPX
- JPEG 2000
- FFV1
- OpenEXR
- CineForm RAW
- ProRes RAW
- Blackmagic RAW

	Vorteile	Nachteile
TIFF DPX OpenEXR	Daten leichter zu bearbeiten	grössere Dateien
JPEG 2000 FFV1	kleinere Dateien	Daten komplexer zu bearbeiten

MXF-Container (.mxf)

Videocodec

- DPX
- JPEG 2000
- DNxHD, DNxHR
- ProRes 422, ProRes 4444

SMPTE REGISTERED DISCLOSURE DOCUMENT



MXF Archive and Preservation Format Registered Disclosure Document

Page 1 of 113

The attached document is a Registered Disclosure Document prepared by the sponsor identified below. It has been examined by the appropriate SMPTE Technology Committee and is believed to contain adequate information to satisfy the objectives defined in the Scope, and to be technically consistent.

This document is NOT a Standard, Recommended Practice or Engineering Guideline, and does NOT imply a finding or representation of the Society.

Every attempt has been made to ensure that the information contained in this document is accurate. Errors in this document should be reported to the proponent identified below, with a copy to eng@smpte.org.

All other inquiries in respect of this document, including inquiries as to intellectual property requirements that may be attached to use of the disclosed technology, should be addressed to the proponent identified below.

Proponent Contact Information:

Kate Murray
Library of Congress
101 Independence Ave, S.E.
Washington, DC 20540-1300

Email: kmur@loc.gov

MXF / DPX

MXF

→ SMPTE RDD 48:2018

DPX

→ SMPTE ST 268M:2015

MXF / JPEG 2000

MXF

→ SMPTE RDD 48:2018

JPEG 2000

→ ISO/IEC 15444-1:2019

→ usw.

MXF / DNx

MXF

→ SMPTE RDD 48:2018

DNxHD, DNxHR

→ nicht veröffentlicht

MXF / ProRes

MXF

→ SMPTE RDD 48:2018

ProRes 422, ProRes 4444

→ SMPTE RDD 36:2015

**SMPTE REGISTERED
DISCLOSURE DOCUMENT**

SMPTE RDD 36:2015

Apple ProRes Bitstream Syntax
and Decoding Process



Page 1 of 39 pages

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All other inquiries in respect of this document, including inquiries as to intellectual property requirements that may be attached to use of the disclosed technology, should be addressed to the proponent identified below.

Proponent contact information:

ProRes Program Office
Apple Inc.
1 Infinite Loop, MS: 77-2YAK
Cupertino, CA 95014
USA

Email: ProRes@apple.com

Matroska-Container (.mkv)

Videocodec

- FFV1
- ProRes 422, ProRes 4444

Matroska / FFV1

Matroska (.mkv)

→ IETF Internet Draft

FFV1

→ IETF RFC 9043

Stream: Internet Engineering Task Force (IETF)
RFC: [9043](#)
Category: Informational
Published: August 2021
ISSN: 2070-1721
Authors: M. Niedermayer D. Rice J. Martinez

RFC 9043

FFV1 Video Coding Format Versions 0, 1, and 3

Abstract

This document defines FFV1, a lossless, intra-frame video encoding format. FFV1 is designed to efficiently compress video data in a variety of pixel formats. Compared to uncompressed video, FFV1 offers storage compression, frame fixity, and self-description, which makes FFV1 useful as a preservation or intermediate video format.

Status of This Memo

This document is not an Internet Standards Track specification; it is published for informational purposes.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Not all documents approved by the IESG are candidates for any level of Internet Standard; see Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at <https://www.rfc-editor.org/info/rfc9043>.

Matroska / ProRes

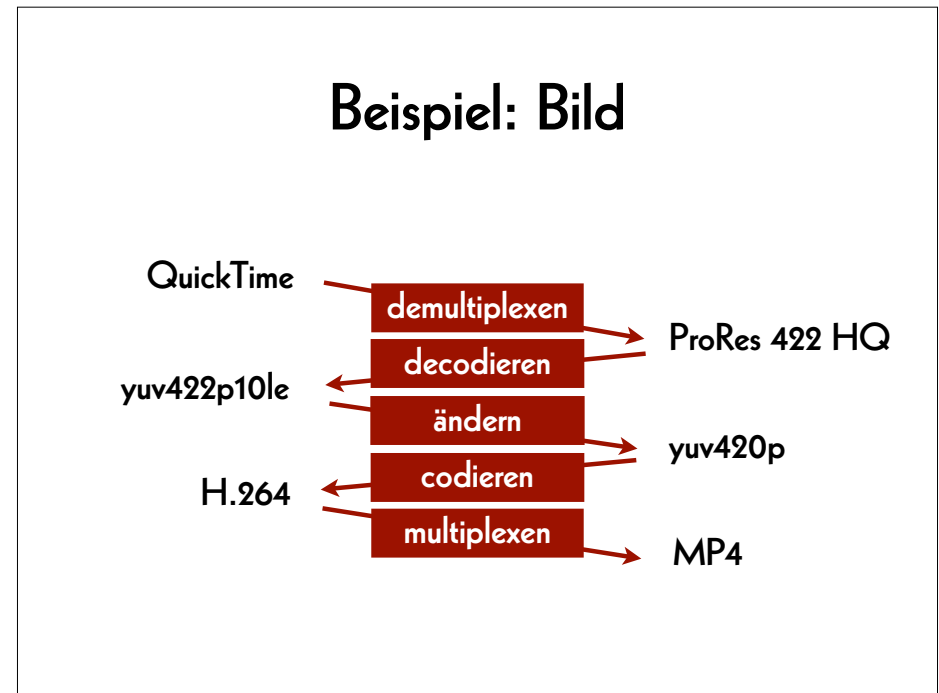
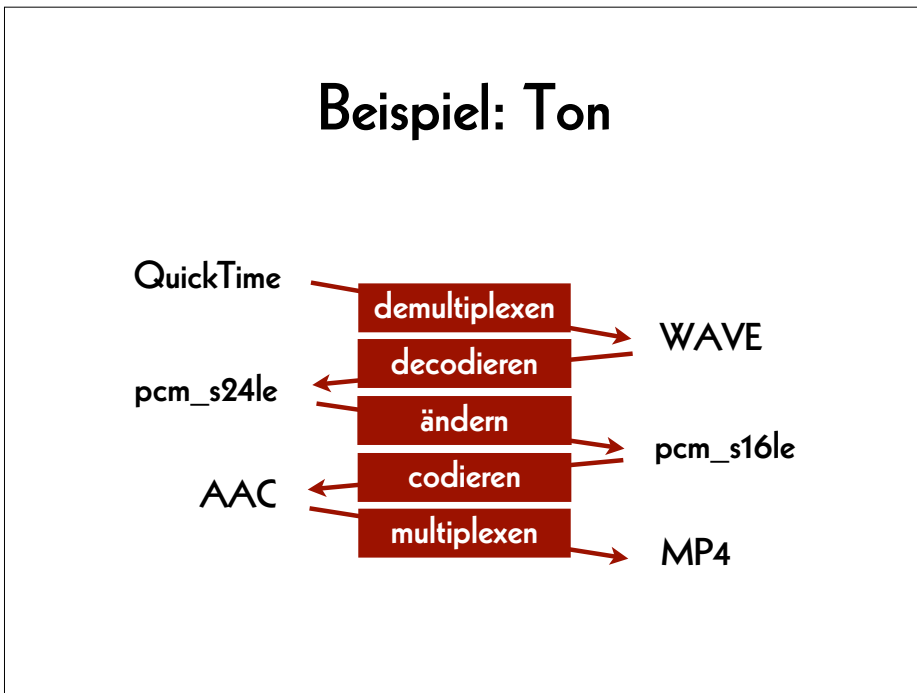
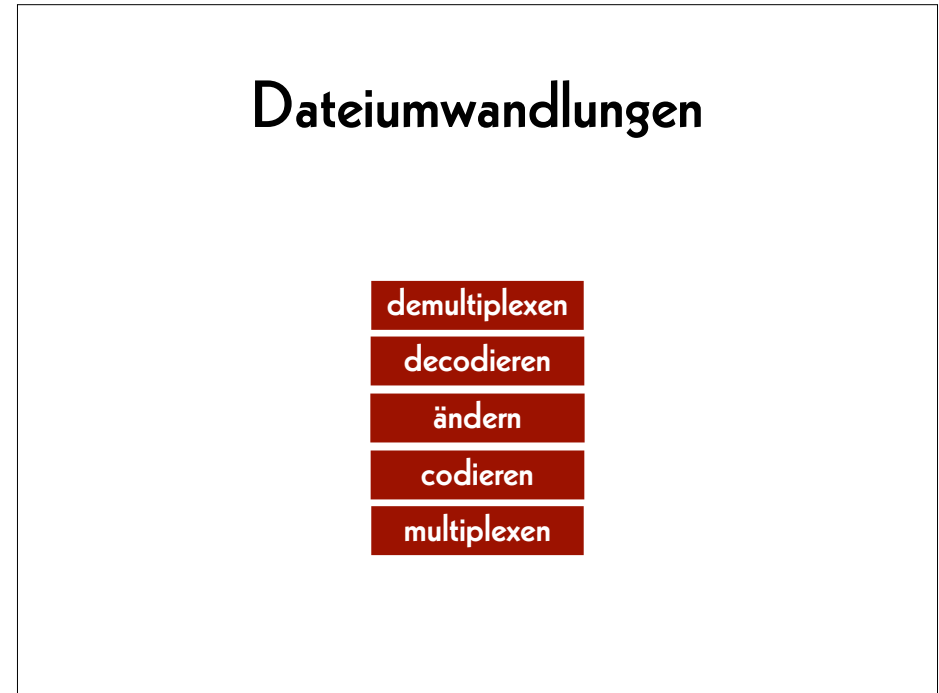
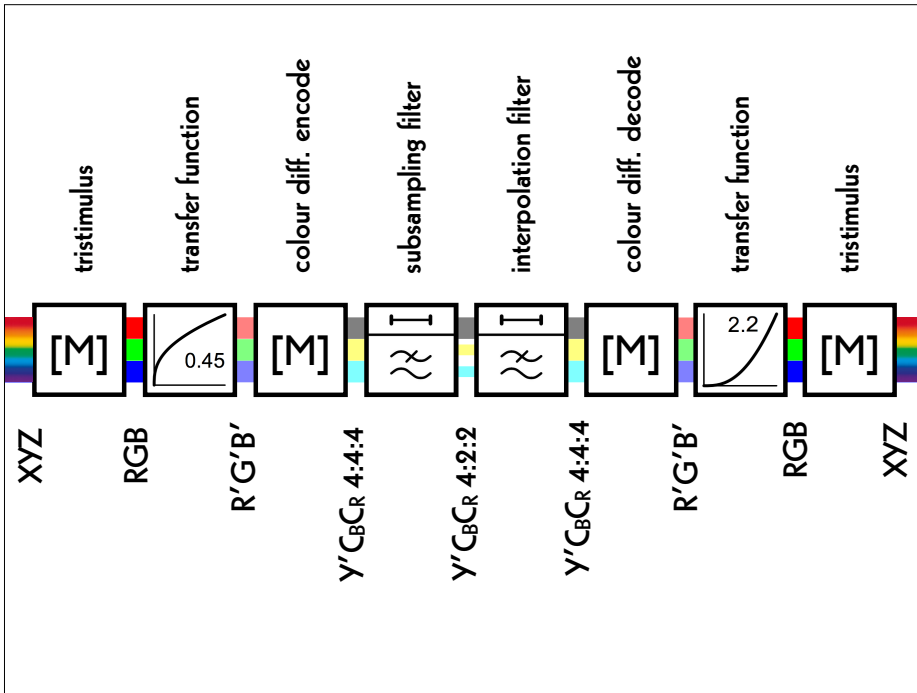
Matroska (.mkv)

→ IETF Internet Draft

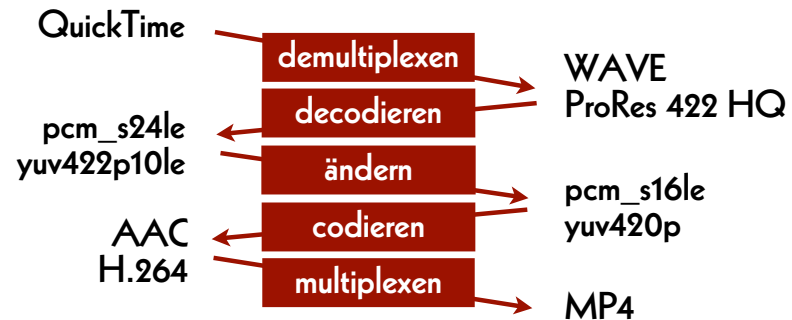
ProRes 422, ProRes 4444

→ SMPTE RDD 36:2015

Umwandlungen



Beispiel: Bild und Ton



Danksagung (1)

- Eidgenössische Technische Hochschule
- Massachusetts Institute of Technology
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- Jérôme Martinez
- Michael Niedermayer

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