

# On Audio-Visual File Formats

Joshua Ng • Archives New Zealand  
Reto Kromer • AV Preservation by reto.ch

**FFmpeg for audio-visual archivists**  
IASA/JTS, Hilversum, The Netherlands  
3 October 2019

1

## Summary

- digital audio and digital video
- container, codec, raw data
- different formats for different purposes
- audio-visual data transformations

2

# Digital Audio

3

## Digital Audio

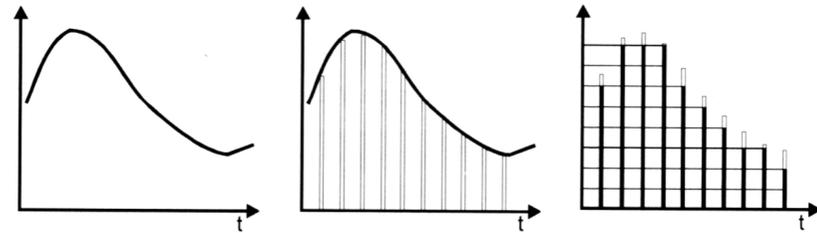
- sampling
- quantisation

4

## Sampling

- 44.1 kHz
- 48 kHz
- 96 kHz
- 192 kHz

6



digitisation = sampling + quantisation

5

## Quantisation

- 16 bit
- 24 bit
- 32 bit

7

# Digital Video

8

## Digital Video

- resolution
- bit depth
- linear, power, logarithmic
- colour model
- chroma subsampling
- illuminant

9

## Resolution

- SD 480i / SD 576i
- HD 720p / HD 1080i
- 2K / HD 1080p
- 4K / UHD-1
- 8K / UHD-2

10

## Bit Depth

- 8 bit
- 10 bit
- 12 bit
- 16 bit
- 24 bit

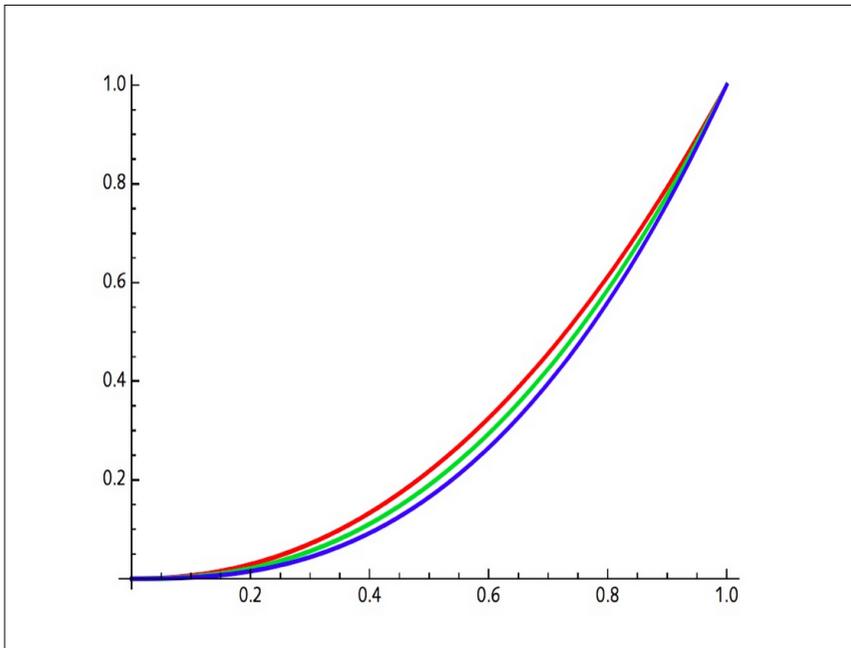
11

## Linear, Power, Logarithmic

«medium grey»

- linear: 18%
- power: 50%
- logarithmic: 50%

12



13

## Colour Model

- XYZ,  $L^*a^*b^*$
- RGB / R'G'B' / CMY / C'M'Y'
- Y'IQ / Y'UV / Y'D<sub>B</sub>D<sub>R</sub>
- Y'C<sub>B</sub>C<sub>R</sub> / Y'CoC<sub>G</sub>
- Y'P<sub>B</sub>P<sub>R</sub>

14



15

## RGB24

00000000	11111111	00000000	00000000
00000000	00000000	11111111	00000000
00000000	00000000	00000000	11111111
00000000	11111111	11111111	11111111
11111111	00000000	11111111	11111111
11111111	11111111	00000000	11111111

16

## Compression

- uncompressed
- lossless compression
- lossy compression
- compressed-born

17

## Uncompressed

- + data simpler to process
- + software runs faster
- bigger files
- slower writing, transmission and reading

Examples: TIFF, DPX, DNG, OpenEXR

18

## Lossless Compression

- + smaller files
- + faster writing, transmission and reading
- data processing complexer
- software runs slower

Examples: JPEG 2000, FFV1

19

## Lossy Compression

- optimised for image acquisition and/or postproduction
- optimised for access

Examples (mezzanine): ProRes 422, ProRes 4444, DNxHD, DNxHR

Examples (access): H.264 (AVC), H.265 (HEVC), AV1

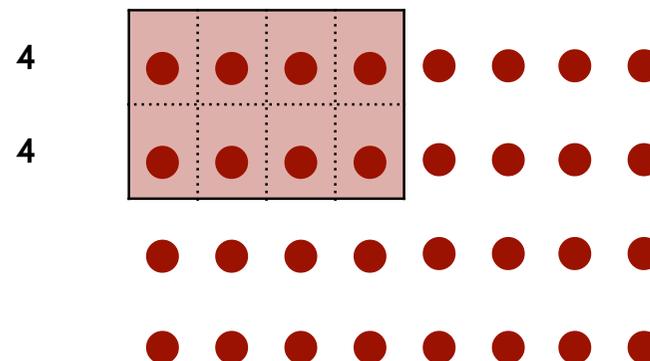
20

# Chroma Subsampling

- 4:4:4
- 4:2:2
- 4:2:0 / 4:1:1

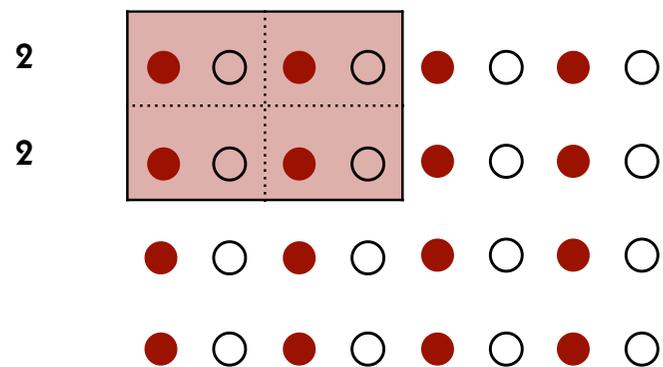
21

## 4:4:4



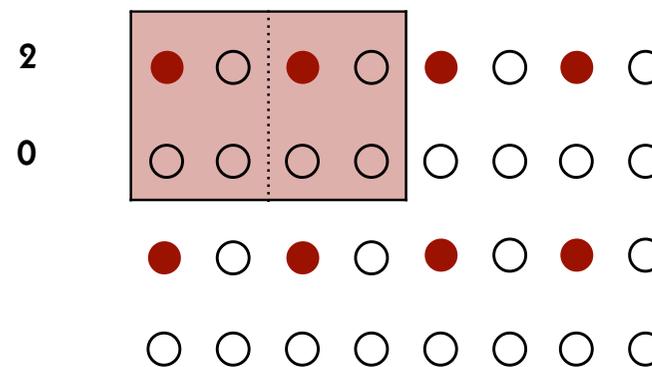
22

## 4:2:2



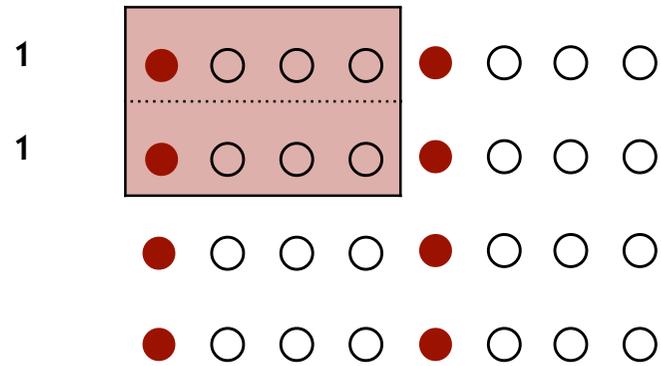
23

## 4:2:0



24

## 4:1:1



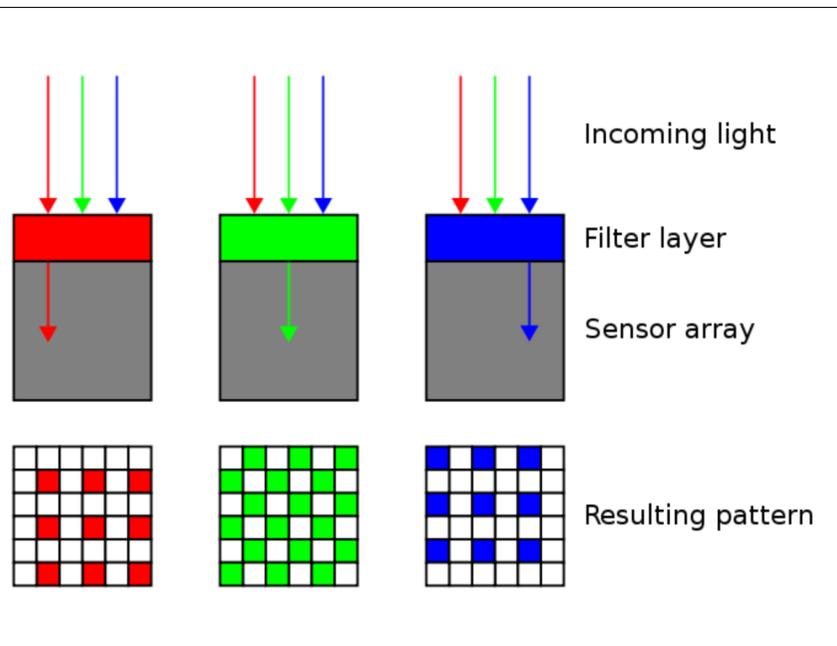
25

## Born-Compressed

- optimised for both image acquisition and postproduction

Examples: CineForm RAW, ProRes RAW

26

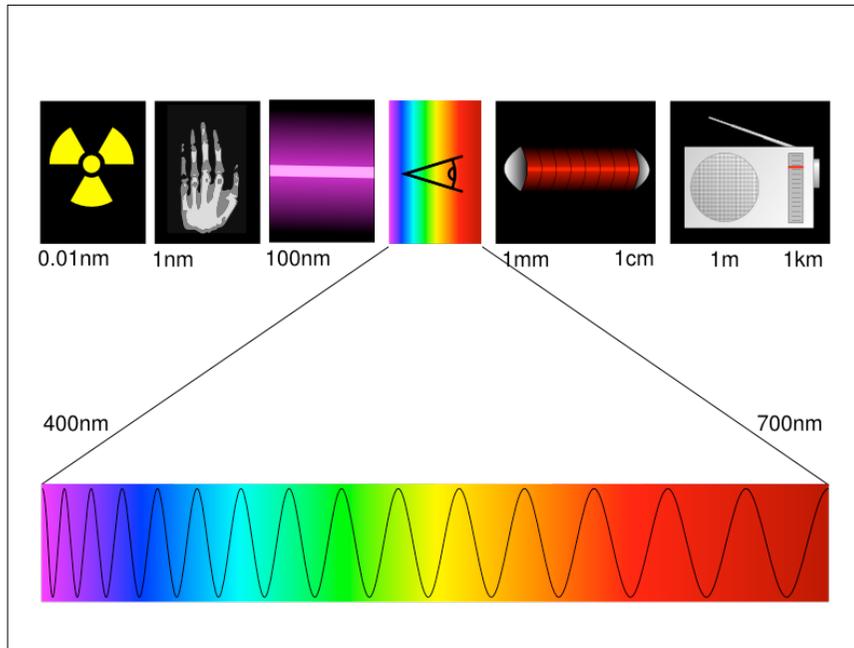


27

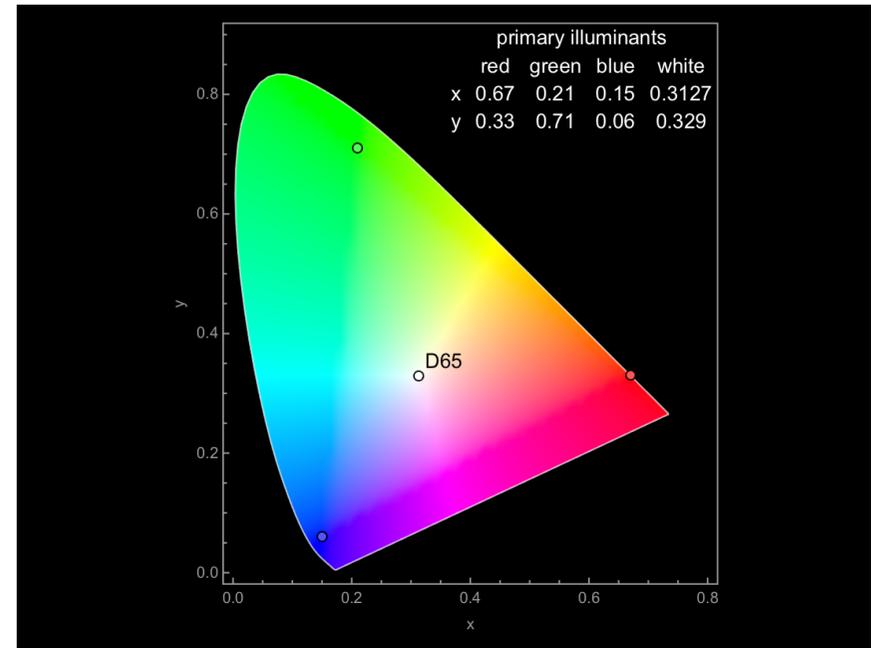
## Illuminant

- D50
- D55
- D65
- D75

28



29



30

# File Structure

31

```

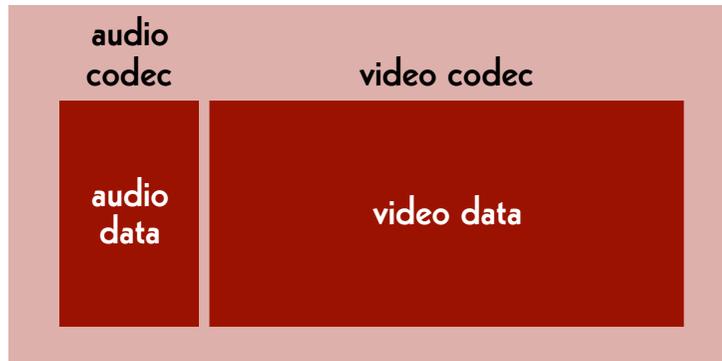
0111010100101010100010110101011110
010011010101010101010100001011101010
0111010100101010100010110101011110
000111010101010101010100001011101010
0110101010010101010100010111010101111
001010101010101010000101110101010000
0111010100101010100010110101011110
010101010101010101000010111010100110
1001011101010010101010001011010101
1110010101010101010000101110101010
0111010100101010100010110101011110
010101010101010101001101010100000001
0010100010101010101001010101010101

```

32

## File Structure

audio-visual container (wrapper)



33

## Audio-Visual Container

- MP4
- MOV
- AVI
- MXF
- Matroska (.mkv)
- Flash

34

## Single Images

- folder
- TAR
- ZIP
- MXF
- Matroska (.mkv)
- CinemaDNG

35

## Audio Codec

- WAVE
- BWF
- AAC
- MP3
- FLAC

36

## Video Codec (Master)

### images

- TIFF
- DPX
- JPEG 2000
- OpenEXR
- DNG

### streams

- 8 bit raw
- 10 bit raw
- HuffYUV
- FFV1

37

## Video Codec (Mezzanine)

- ProRes 422, ProRes 4444, ProRes RAW
- DNxHD, DNxHR
- CineForm RAW

38

## Video Codec (Access)

- H.264, H.265 (HEVC), AV1

39

**Data is anything  
but «raw».**

40

## Audio Data

- pcm\_s16le
- pcm\_s24le
- pcm\_s32le

41

## Video Data

- rgb48le
- rgb24
- rgb72le
- bayer\_bggr16le
- bayer\_bggr24le
- yuv444p16le
- yuv422p10le
- uyvy422
- yuv420p
- yuv444p24le

42

## What is inside my DPX?

- log neg encoding
- log RGB encoding or quasi-log encoding
- gamma encoding or power function encoding
- scene-linear encoding

43

# File Formats

44

## Principles

- The archive must be able to handle the file formats it holds.
- open source
- simple to use and well documented
- widely used by the community

45

## Different Purposes

archive master format:

→ for preservation

mezzanine format:

→ for professional use in post-production

dissemination formats:

→ for widely spreading and easy access

46

Elena Rossi-Snook:

**Archiving without access  
isn't preservation,  
it's hoarding.**

47

## Archive Master (Today)

film

- folder, TIFF, 2K, RGB, 4:4:4, 16 bit
- MXF, DPX, 2K, R'G'B', 4:4:4, 10 bit

video

- AVI, «raw», HD, Y'CbCr, 4:2:2, 10 bit
- Matroska, FFV1, HD, Y'CbCr, 4:2:2, 10 bit

audio

- BWF, 96 kHz, 24 bit
- FLAC, 96 kHz, 24 bit

48

## Mezzanine (Today)

### video

- ProRes 4444, 2K
- DNxHR, 2K
- ProRes 422 HQ, HD
- DNxHD 175x, HD

### audio

- BWF, 48 kHz, 24 bit
- WAVE, 48 kHz, 24 bit

49

## Dissemination (Today)

### MP4

#### Video

- H.264, SD, yuv420p, «lossy»
- H.264, HD, yuv420p, «lossy»

#### Sound

- AAC, 44.1 kHz, 16 bit
- AAC, 48 kHz, 16 bit

50

## Archive Master and Mezzanine

### film

- Matroska, FFV1, 2K, «RGB», 4:4:4, 16 bit

### video

- Matroska, FFV1, HD, Y'CbCr, 4:2:2, 10 bit

### audio

- Matroska, FLAC, 96 kHz, 24 bit

51

## Access

### WebM (a subset of Matroska)

#### Video

- «H.265», HD, yuv420p

#### Sound

- «FLAC», 48 kHz, 16 bit

52

## Reading

Reto Kromer: **Matroska and FFV1: One File Format for Film and Video Archiving?**, in «Journal of Film Preservation», n. 96 (April 2017), FIAF, Brussels, Belgium, p. 41–45

→ [https://retokromer.ch/publications/JFP\\_96.html](https://retokromer.ch/publications/JFP_96.html)

53

## Pros & Cons

54

### container:

- folder
- TAR
- ZIP
- MXF
- Matroska

### codec:

- Cineon, DPX
- TIFF
- JPEG 2000
- FFV1
- OpenEXR
- CineForm RAW
- ProRes RAW

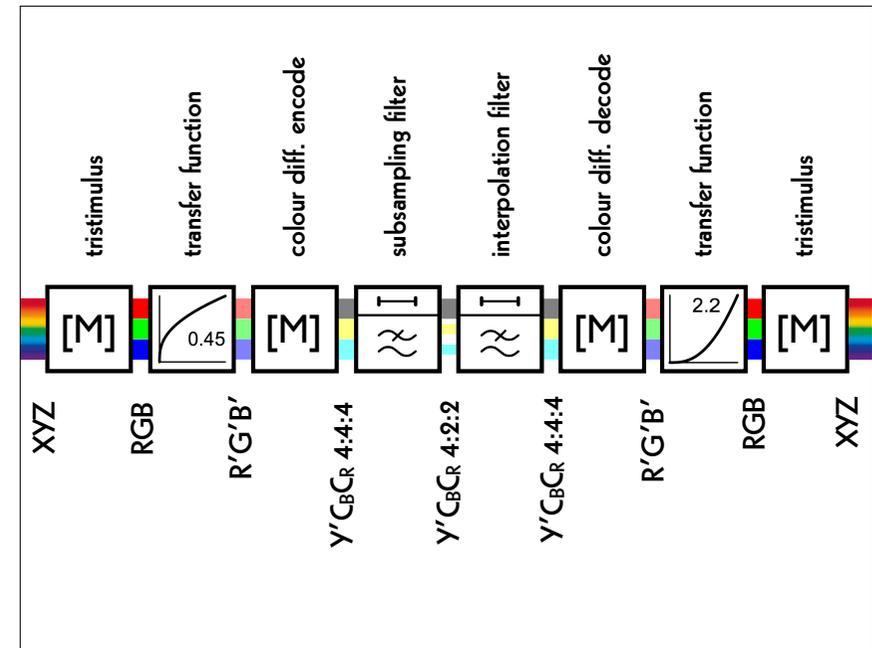
55

	avantages	disavantages
<b>TIFF DPX DNG OpenEXR</b>	data easier to process	bigger files
<b>FFV1 JPEG 2000</b>	smaller files	data complexer to process

56

# Transformations

57



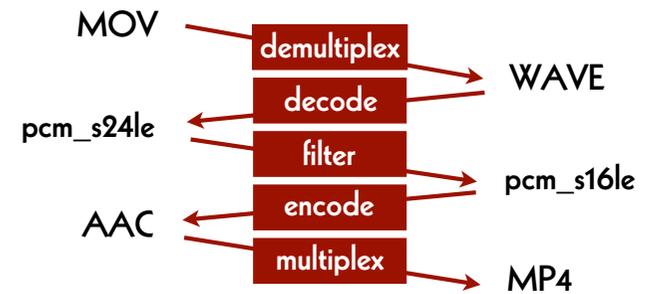
58

## Data Transformations

- demultiplex
- decode
- filter
- encode
- multiplex

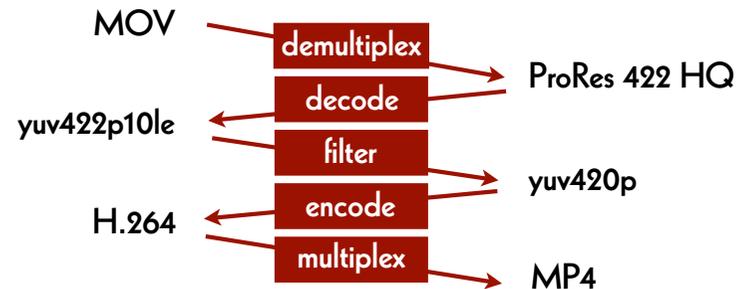
59

## Audio Exemple



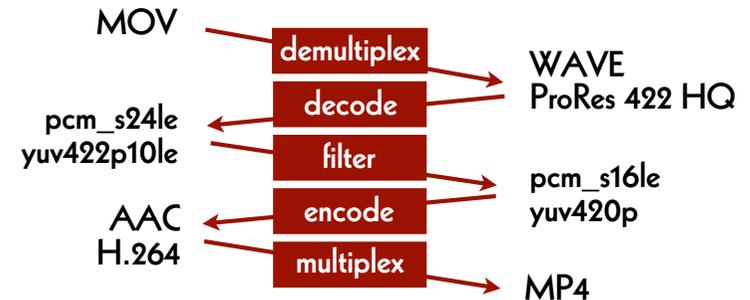
60

## Video Exemple



61

## Audio-Visual Exemple



62

## Acknowledgements

- Swiss Federal Institute of Technology
- Massachusetts Institute of Technology
- Kinemathek Lichtspiel, Bern
  
- Charles Poynton
- Dave Rice & Misty De Meo
- Agathe Jarczyk & David Pfluger

63

## AV Preservation by reto.ch

chemin du Suchet 5  
1024 Écublens  
Switzerland

Web: [reto.ch](http://reto.ch)  
Twitter: @retoch  
Email: [info@reto.ch](mailto:info@reto.ch)



64